



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems**  
**UNIFORM PRACTICE ADVISORY (UPC #43-17) 10/11/2017**  
**Hampshire Group, Limited. (HAMPO)**

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Notice has been received that the above Debtors' Prepackaged Joint Plan of Reorganization became effective on 10/05/2017. Pursuant to the Plan, On the Effective Date, all Interests in the Debtors shall be cancelled, and the Holders of Class 3 Interests shall not be entitled to, and shall not receive or retain, any property on account of such Interests under the Plan.<sup>1</sup>

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Members are advised that deliveries in settlement of contracts in the OLD securities, which were executed prior to the announcement that the securities had been deemed worthless, shall be evidenced by either a) the OLD security; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to Uniform Practice Code Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

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<sup>1</sup> See e.g., *In re HAMPSHIRE FROUP, LIMITED, et al.* Debtors, No. 16–12634 (BLS) (First Amended Joint Chapter 11 Plan of Liquidation Proposed by the Debtors and The Official Committee Of Unsecured Creditors of Hampshire Group, Ltd., ET AL).