



FINRA Mediation  
Settlement Month—  
October 2018

# Looking for closure?

This year, FINRA is offering parties an additional 30 days to take advantage of October's discounted rates. Parties who agree to mediate between Sept. 15 and Nov. 15, 2018, can benefit from Mediation Settlement Month rates. Parties must hold their mediation by Jan. 15, 2019.

## FINRA's Office of Dispute Resolution

### Contact:

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## FINRA's mediation program results in settlements more than 80 percent of the time.

Choose FINRA mediation for:

- **Time and cost savings**—Settlement is generally swift, session can be held telephonically, and fees and expenses are modest.
- **Control**—Parties control the outcome.
- **Successful resolution**—FINRA mediators settle four out of every five cases.
- **Quality**—Parties choose the mediator from a select roster of skilled and experienced FINRA mediators.

Save on FINRA mediation:

- Cases with an amount in controversy up to \$25,000 are only \$100/party for a four-hour mediation.
- Cases with an amount in controversy from \$25,000.01 to \$100,000 are only \$200/party for a four-hour mediation.
- Cases with an amount in controversy over \$100,000 are only \$500/party for a eight-hour mediation.
- FINRA administrative filing fees also apply, but are reduced by 50 percent during Settlement Month.

FINRA Mediation Settlement Month is coming soon, so reserve your date early. Visit our website at [www.finra.org/ArbitrationMediation](http://www.finra.org/ArbitrationMediation).



Financial Industry Regulatory Authority

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