

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
General		
When using the Printer-Friendly option in Web CRD, the font size will be increased.	All	All
Web CRD		
<p>Pending approved rule changes, registered representatives will be able to complete their regulatory element continuing education (CE) session online beginning in Q4 2015.</p> <p>In preparation this, the following system functions will be enhanced to display how many modules a representative has completed and the last time they accessed the online CE session:</p> <ul style="list-style-type: none"> • View Individual • Queues <ul style="list-style-type: none"> ◦ Approaching CE Requirement ◦ CE Inactive ◦ Currently CE Required ◦ Scheduled Exams • Reports <ul style="list-style-type: none"> ◦ Approaching CE ◦ CE Download ◦ Current Inactive CE Individuals ◦ Scheduled Exam ◦ Snapshot 	View Individual, Queues, Reports	All
(Note: Rule changes are pending approval and online CE data will not be visible to users until Q4 2015.)		
The 'Exam and CE – PROCTOR Appointment Data Download' report will renamed to 'Exam and CE – Test Center Appointment Data Download'. For CE, it will only include sessions scheduled at a testing center.	Reports	All
BrokerCheck		
When there is only one broker search result, the user will be taken directly to the broker's summary page.	Broker Search Results	All

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<p>The following enhancements will be made to the broker online summary:</p> <ul style="list-style-type: none">• Brokers with multiple current employments will have those employments sorted in descending order (most recent appears first)• Disclosure events will be highlighted more clearly	Broker Summary	All
<p>The following enhancements will be made to the firm online summary:</p> <ul style="list-style-type: none">• Direct Owners and Executive Officers will display on the summary page• Firm contact information will be organized in an easy-to-read table format• A link to IAPD will display for joint BD/IA firms	Firm Summary	All