

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
General		
When using the Printer-Friendly option in Web CRD, the font size will be increased.	All	All
Web CRD		
<p>Pending approved rule changes, registered representatives will be able to complete their regulatory element continuing education (CE) session online beginning in Q4 2015.</p> <p>In preparation this, the following system functions will be enhanced to display how many modules a representative has completed and the last time they accessed the online CE session:</p> <ul style="list-style-type: none"> • View Individual • Queues <ul style="list-style-type: none"> ○ Approaching CE Requirement ○ CE Inactive ○ Currently CE Required ○ Scheduled Exams • Reports <ul style="list-style-type: none"> ○ Approaching CE ○ CE Download ○ Current Inactive CE Individuals ○ Scheduled Exam ○ Snapshot <p>(Note: Rule changes are pending approval and online CE data will not be visible to users until Q4 2015.)</p>	View Individual, Queues, Reports	All
The 'Exam and CE – PROCTOR Appointment Data Download' report will renamed to 'Exam and CE – Test Center Appointment Data Download'. For CE, it will only include sessions scheduled at a testing center.	Reports	All
BrokerCheck		
When there is only one broker search result, the user will be taken directly to the broker's summary page.	Broker Search Results	All

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
<p>The following enhancements will be made to the broker online summary:</p> <ul style="list-style-type: none">• Brokers with multiple current employments will have those employments sorted in descending order (most recent appears first)• Disclosure events will be highlighted more clearly	Broker Summary	All
<p>The following enhancements will be made to the firm online summary:</p> <ul style="list-style-type: none">• Direct Owners and Executive Officers will display on the summary page• Firm contact information will be organized in an easy-to-read table format• A link to IAPD will display for joint BD/IA firms	Firm Summary	All