



Special Accommodations Eligibility Questionnaire

Member firms on behalf of their candidates with disabilities who are requesting accommodations for a FINRA examination/CE session must complete this form and return it with the **Special Accommodations Verification Form**.

I. Candidate Information

Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Telephone Number () _____ Email Address: _____

Candidate CRD/ FINRA ID# _____ Last 4 of SSN: XXX-XX- _____

Exam/CE Series #: _____

Requested Center Location(s) (City, State) _____

Has the candidate received Accommodations from FINRA/NASD within the past 5 years? Yes/No

Specific accommodation(s) requested:

Paper & Pencil (Non-computerized) Exam^ _____ Extra Time** _____ Minutes

Reader/Recorder^ _____

Other: Please specify _____

^ Upon contacting the testing vendor, please be advised that they require 10 business days to prepare for appointments scheduled with these accommodations.
Paper & Pencil Exam is not offered for CE sessions; option is for qualifying exams only. Please allow 5 business days after testing for your paper exam to be scored and posted to Web CRD.

** If extra time is selected, the specific amount of extra time requested is required. Please see the attachment for the standard examination and CE session lengths.

II. Firm Information – please cross out and write “keep confidential” if you do not want your firm to know about your disability and/or accommodations.

Firm Name _____ BD# _____

Registration/Compliance Officer Name: _____

Contact Email Address: _____ Telephone #: () _____

Contact Signature: _____ Date _____

Note: All requests take approximately 2-3 business days to process after which a confirmation email will be sent with the offered accommodations. All forms and supporting documentation may be faxed to FINRA at (202) 303-3901 or emailed to SARrequest@finra.org.

If mailed, forms and documentation should be sent to the following address:

FINRA - Attention: Field Support Services
9509 Key West Avenue, 3rd Floor
Rockville, MD 20850

Examination & CE Session Lengths

| Series/ Session | Examination/Session | Minutes |
|--------------------|---|---------|
| 3 | National Commodity Futures | 150 |
| 4 | Registered Options Principal | 180 |
| 6 | Investment Company Products/Variable Contracts Representative | 135 |
| 7 | General Securities Representative | 360 |
| 9 | General Securities Sales Supervisor Options Module | 90 |
| 10 | General Securities Sales Supervisor General Module | 240 |
| 11 | Assistant Representative-Order Processing | 60 |
| 14 | Compliance Officer | 180 |
| 17 | Limited Registered Representative | 120 |
| 22 | Direct Participation Programs Representative | 135 |
| 23 | General Securities Principal Sales Supervisor Module | 150 |
| 24 | General Securities Principal | 210 |
| 26 | Investment Company Products/Variable Contracts | 150 |
| 27 | Financial and Operations Principal | 210 |
| 28 | Introducing Broker/Dealer Financial and Operations Principal | 120 |
| 30 | Branch Managers Examination - Futures | 60 |
| 31 | Futures Managed Funds Examination | 60 |
| 32 | Limited Futures Exam-Regulations | 45 |
| 37 | Canada Module of S7 [Options Required] | 150 |
| 38 | Canada Module of S7 [No Options Required] | 75 |
| 39 | Direct Participation Programs Principal | 135 |
| 42 | Registered Options Representative | 90 |
| 51 | Municipal Fund Securities Limited Principal | 90 |
| 52 | Municipal Securities Representative | 210 |
| 53 | Municipal Securities Principal | 180 |
| 55 | Limited Representative-Equity Trader Examination | 180 |
| 56 | Proprietary Trader Qualification Examination | 150 |
| 62 | Corporate Securities Limited Representative | 150 |
| 63 | Uniform Securities Agent State Law Exam | 75 |
| 65 | NASAA-Investment Advisors Law Exam | 180 |
| 66 | NASAA-Uniform Combined State Law Exam | 150 |
| 72 | Government Securities Representative | 180 |
| 79 | Limited Representative-Investment Banking Examination | 300 |
| 82 | Limited Representative-Private Securities Offerings | 150 |
| 86 | Research Analyst Part I Analysis Module | 240 |
| 87 | Research Analyst Part II Regulations Module | 90 |
| 161 | Supervisory Analyst Part 1 | 90 |
| 162 | Supervisory Analyst Part 2 | 120 |
| CE101 | Regulatory Element General Program | 180 |
| CE106 | Regulatory Element Investment Representative Program | 180 |
| CE201 | Regulatory Element Supervisor/Principal Program | 180 |