
From: Marks, Jay [mailto:jhmarks@finsvcs.com]
Sent: Thursday, June 25, 2009 5:13 PM
To: Comments, Public
Subject: re: expansion of suitability obligations to recommendations that do NOT involve securities

To whom it may concern:

As a registered representative of a major brokerage firm, I am distressed to hear of FINRA'S proposal to expand the scope of finra's suitability rules and obligations to include recommendations that do not involve securities. As the days pass, the rules and regulations become more cumbersome, which distracts from the main goal and objective of properly and honestly servicing the customer. Reulate if you must in the areas concerning (SECURITIES), and leave the balance of the regulations to the states and other governing concerns that protect the consumer. Finra's new proposed reulations are similar to adding new laws and controls to gun laws. It's not those people who have gun permits that are the proplem, its the drug dealers and criminals without the permits that kill people. Do not kill off the GOOD BROKERS with added paper work. Let them meet the needs of the client.

Respectfully,

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