

Marcia E. Asquith
Office of the Corporate Secretary FINRA
1735 K Street, NW
Washington, DC 20006-1506

Re: Regulatory Notice 14-09

Dear Ms. Asquith,

My firm is an institutional division of Compass Securities Corporation, a FINRA member specializing in institutional outsourced marketing services. I am also a member of the Third Party Marketer's Association (3PM). I have had an opportunity to review 3PM's comprehensive comments regarding the rule set proposed by Regulatory Notice 14-09 for Limited Corporate Finance Brokers (LCBD). I urge FINRA's Board to carefully consider 3PM's thoughtful and informed commentary, which has earned my strong support.

Sincerely,

Sandra Powers
Founder & CEO
ARK Global LLC
An Institutional Division of Compass Securities Corporation
Office: 1-800-676-2921
Mobile: 1-781-572-5288
Email: powers@arkglobalonline.com
44 Washington Street Suite 50
Wellesley Hills, MA 02481