



FRONTIER SOLUTIONS

Marcia E. Asquith
Office of the Corporate Secretary FINRA
1735 K Street, NW
Washington, DC 20006-1506

Re: Regulatory Notice 14-09

Dear Ms. Asquith,

My firm is a FINRA member specializing in private placements of alternative investments to institutional clients. I am also a member of the Third Party Marketer's Association (3PM). I have had an opportunity to review 3PM's comprehensive comments regarding the rule set proposed by Regulatory Notice 14-09 for Limited Corporate Finance Brokers (LCBD). I urge FINRA's Board to carefully consider 3PM's thoughtful and informed commentary, which has earned my strong support.

Please contact me directly by phone at (404) 879-5130 or via email at dvick@frontiersolutions.com should you have any questions or require further information.

Sincerely,

George Vick
Chief Compliance Officer
Frontier Solutions, LLC