

NASD Notice to Members 98-93

NASD Informs Members Of District Committee Members And District Nominating Committee Members

Suggested Routing

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Executive Summary

Through this *Notice*, the National Association of Securities Dealers, Inc. (NASD®) is informing NASD members of the 1999 District Committee members and the District Nominating Committee members.

Questions concerning this *Notice* may be directed to the District Director noted or to Joan Conley, Corporate Secretary, NASD, at (202) 728-8381.

District Committee Members And District Nominating Committee Members

Members of the 1999 District Committees and District Nominating Committees are as follows:

DISTRICT 1

District Committee

To Serve Until January 2000

Glenn M. Colacurci	Salomon Smith Barney, Inc., San Francisco, CA
Jerry D. Phillips	Sutro & Co., San Francisco, CA
William A. Svoboda	Morgan Stanley Dean Witter, San Francisco, CA

To Serve Until January 2001

Steven R. Aaron	Hambrecht & Quist LLC, San Francisco, CA
Janet W. Campbell	Protected Investors of America, San Francisco, CA
Douglas C. Heske	Piper Jaffray, Inc., San Francisco, CA

To Serve Until January 2002

John H. Chung	Van Kasper & Company, Inc., San Francisco, CA
Steven D. Piper	Volpe Brown Whelan & Company LLC, San Francisco, CA

Nominating Committee

Deborah R. Gatzek	Franklin/Templeton Distributors, San Mateo, CA
John C. Helmer	Caldwell Securities, Danville, CA
Lawrence R. McKulla	Prudential Securities, San Francisco, CA
John J. Sanders	BancBoston Robertson Stephens, Inc., San Francisco, CA
John E. Schmidt	Credit Suisse First Boston, San Francisco, CA

District Director

Elisabeth P. Owens
525 Market Street, Suite 300
San Francisco, CA 94105
(415) 882-1200

DISTRICT 2

District Committee

To Serve Until January 2000

Terry L. Chase	EVEREN Securities, Inc., Pasadena, CA
Rodney D. Hagenbuch	Merrill Lynch, Pierce Fenner & Smith, Inc., Los Angeles, CA
William J. Porter, III	The Seidler Companies, Inc., Los Angeles, CA
Joan B. Seidel	Morton Seidel & Company, Inc., Beverly Hills, CA

To Serve Until January 2001

James B. Guillou, Sr.	Sutro & Co., Incorporated, LaJolla, CA
Andrew E. Haas	Bear Stearns & Co., Inc., Los Angeles, CA
Richard E. Wiseley	CIBC Oppenheimer & Co., Los Angeles, CA
Richard P. Woltman	Spelman & Co., Inc., San Diego, CA

To Serve Until January 2002

Margaret M. Black	Morgan Stanley Dean Witter, Beverly Hills, CA
Diane P. Blakeslee	Blakeslee & Blakeslee, Inc., San Luis Obispo, CA
Jack R. Handy, Jr.	Financial Network Investment Corporation, Torrance, CA
Dean A. Holmes	Gateway Investment Services, Inc., Glendale, CA

Nominating Committee

George H. Casey	Crowell Weedon & Co., Los Angeles, CA
William A. Hawkins	Griffin Financial Services, City of Industry, CA
Carl E. Lindros	Santa Barbara Securities, Inc., Santa Barbara, CA
Fredric M. Roberts	F. M. Roberts & Company, Los Angeles, CA
Robert L. Winston	American Funds Distributors, Inc., Los Angeles, CA

District Director

Lani M. Sen Woltmann
300 South Grand Avenue, Suite 1600
Los Angeles, CA 90071
(213) 627-2122

DISTRICT 3

District Committee

To Serve Until January 2000

Timothy H. Ganahl	Ragen MacKenzie, Inc., Seattle, WA
Thomas A. Petrie	Petrie Parkman & Co., Inc., Denver, CO
Patrick C. Rile	EVEREN Securities, Inc., Phoenix, AZ
Douglas E. Strand	Strand, Atkinson, Williams & York, Inc., Portland, OR

To Serve Until January 2001

Thomas R. Hislop	Peacock, Hislop, Staley & Given, Inc., Phoenix, AZ
Gerald Meyer	D. A. Davidson & Co., Great Falls, MT
John Morton	Morton Clarke Fu & Metcalf, Inc., Seattle, WA
Terry Lee Richards	PaineWebber, Inc., Salt Lake City, UT

To Serve Until January 2002

James Barnyak	Salomon Smith Barney, Inc., Seattle, WA
David Griswold	Frank Russell Securities, Inc., Tacoma, WA
James E. Stark	Charles Schwab & Co., Phoenix, AZ
Thomas Williams	TIAA/CREF, Denver, CO

Nominating Committee

Vincent Asaro	SunAmerica Securities, Inc., Phoenix, AZ
James Kerr	Dain Rauscher Incorporated, Seattle, WA
William Papesh	WM Funds Distributor, Inc., Spokane, WA
Anthony Petrelli	Neidiger Tucker Bruner, Inc., Denver, CO
Richard Royce	Salomon Smith Barney, Inc., Portland, OR

District Director

Frank J. Birgfeld
Republic Plaza Building
370 17th Street, Suite 2900
Denver, CO 80202-5629
(303) 446-3100

James G. Dawson, Associate Director
Two Union Square
601 Union Street, Suite 1616
Seattle, WA 98101-2327
(206) 624-0790

DISTRICT 4

District Committee

To Serve Until January 2000

Colleen Curran	American Express Financial Advisors, Inc., Minneapolis, MN
Arthur J. Kearney	John G. Kinnard & Company Inc., Minneapolis, MN
John R. Kuddes	Merrill Lynch, Pierce, Smith Incorporated, Overland Park, KS
Wayne H. Peterson	Washington Square Securities, Inc., Minneapolis, MN

To Serve Until January 2001

Antonio J. Cecin	Piper Jaffray Inc., Minneapolis, MN
Cheryl Cook-Schneider	Edward Jones, St. Louis, MO
Robert J. Goodmanson	Robert W. Baird & Co., Inc., St. Paul, MN
Brent M. Weisenborn	Security Investment Company of Kansas City, Kansas City, MO

To Serve Until January 2002

Robert M. Chambers	Chambers Martin & Co., Des Moines, IA
John R. Lepley	Princor Financial Services Corp., Des Moines, IA
William M. Lyons	American Century Investment Services, Inc., Kansas City, MO
Nancy E. Varner	Mercantile Investment Services, Inc., St. Louis, MO

Nominating Committee

Patricia S. Bartholomew	Craig-Hallum Capital Group, Inc., Minneapolis, MN
Edward J. Berkson	Locust Street Securities, Inc., Des Moines, IA
Norman Frager	Walnut Street Securities, St. Louis, MO
Albert W. Lauth	First St. Louis Securities, Inc., St. Louis, MO
Todd W. Miller	Miller, Johnson & Kuehn, Inc., Minneapolis, MN

District Director

Jack Rosenfield
120 W. 12th Street, Suite 900
Kansas City, MO 64105
(816) 421-5700

DISTRICT 5

District Committee

To Serve Until January 2000

R. Neal Culver	Culver Financial Management, Inc., Knoxville, TN
J. French Hill	First Commercial Investments, Inc., Little Rock, AR
Walter H. Johnson	Leo Oppenheim & Co., Inc., Oklahoma City, OK

To Serve Until January 2001

Benjamin D. Capshaw, III	Morgan Stanley Dean Witter, New Orleans, LA
James S. Jones	Crews & Associates, Inc., Little Rock, AR
Dene R. Shipp	SunTrust Equitable Securities, Nashville, TN
John C. West	Prudential Securities, Inc., Memphis, TN

To Serve Until January 2002

James D. Hudgins	SouthTrust Securities, Inc., Birmingham, AL
Leroy H. Paris, II	Mississippi Securities Company, Jackson, MS
Duncan F. Williams	Duncan-Williams, Inc., Memphis, TN

Nominating Committee

H. Kenneth Bennett	Stephens, Inc., Little Rock, AR
James C. Bradford, Jr.	J.C. Bradford & Co., Nashville, TN
Bill Carty	Carty & Company, Inc., Memphis, TN
William T. Patterson	Morgan Keegan & Company, Inc., Jackson, MS
Kenneth L. Wagner	J.J.B. Hilliard, W.L. Lyons, Inc., Louisville, KY

District Director

Warren A. Butler, Jr.
1100 Poydras Street
Energy Centre, Suite 850
New Orleans, LA 70163-0802
(504) 522-6527

DISTRICT 6

District Committee

To Serve Until January 2000

William D. Connally	Greenman Parker Connally Greenman, Inc., Ft. Worth, TX
Titus H. Harris	Harris Webb & Garrison, Inc., Houston, TX
Edward M. Milkie	Milkie Ferguson Investments, Inc., Dallas, TX

To Serve Until January 2001

Daniel C. Dooley	May Financial, Inc., Dallas, TX
Ronald J. Gard	Salomon Smith Barney, Inc., Dallas, TX
Jim G. Rhodes	Rhodes Securities, Inc., Ft. Worth, TX

To Serve Until January 2002

Fred McGinnis	PaineWebber, Houston, TX
Sue Peden	Brokers Transaction Services, Inc., Dallas, TX
Joseph Storthz	Transamerica Financial Resources, Houston, TX

Nominating Committee

John W. Ferguson	May Financial Corp., Dallas, TX
Robert Gunn, III	Gunn & Company Incorporated, San Antonio, TX
Bill Madden	Madden Securities Corporation, Dallas, TX
Gary Murray	Murray Traff Securities, Inc., Tyler, TX
George Stark	Burnham Securities, Inc., Houston, TX

District Director

Bernerd Young, Associate Director
12801 N. Central Expressway, Suite 1050
Dallas, TX 75243
(972) 701-8554

DISTRICT 7

District Committee

To Serve Until January 2000

Robert J. Brietz	Marion Bass Securities Corporation, Charlotte, NC
William H. Carter	J.C. Bradford & Co., Raleigh, NC
Dan B. Franks	Scott & Stringfellow, Inc., Richmond, VA
George K. Jennison	Wheat First Union, Richmond, VA
David G. Pittinos	Morgan Stanley Dean Witter, Tallahassee, FL
R. Charles Shufeldt	SunTrust Equitable Securities Corporation, Atlanta, GA

To Serve Until January 2001

Mary Jae Abbitt	Anderson & Strudwick, Incorporated, Richmond, VA
Robert M. Balentine	Balentine & Company, Atlanta, GA
James J. Buddle	Capital Brokerage Corporation, Richmond, VA
M. Anthony Greene	Investment Management & Research, Inc., Atlanta, GA
J. Lee Keiger III	Davenport & Company LLC, Richmond, VA
Raymond W. Snow	BT Alex. Brown Incorporated, Palm Beach, FL

To Serve Until January 2002

Perrin Q. Dargan, Jr.	A.G. Edwards & Sons, Inc., Pawleys Island, SC
James W. Hamilton, Jr.	Prudential Securities Incorporated, Atlanta, GA
Edward R. Hipp, III	Centura Securities, Inc., Rocky Mount, NC
Roark A. Young	Young, Stovall and Company, Miami, FL

Nominating Committee

John L. Dixom	Mutual Service Corporation, West Palm Beach, FL
Franklin C. Golden	James M. Myers and Co., Charlotte, NC
W. Robb Hough, Jr.	William R. Hough & Co., St. Petersburg, FL
Stuart J. Knobel	Edgar M. Norris & Co., Inc., Anderson, SC
Richard V. McGalliard	Interstate/Johnson Lane Corporation, Atlanta, GA

District Director

Marilyn B. Davis
One Securities Centre, Suite 500
3490 Piedmont Road, NE
Atlanta, GA 30305
(404) 239-6100

DISTRICT 8

District Committee

To Serve Until January 2000

James A. Bowen	Nike Securities, Inc., Lisle, IL
William L. Faulkner	Continental Capital Securities, Inc., Sylvania, OH
Peter C. McCabe, Jr.	Securities Corporation of Iowa, Chicago, IL
Anthony M. Sanfilippo	Trimark Securities, L.P., Chicago, IL
John L. Schlifer	McDonald Investments, Inc., Cleveland, OH

To Serve Until January 2001

William C. Alsover	Centennial Securities Company, Inc., Grand Rapids, MI
Wallen L. Crane	Salomon Smith Barney, Inc., Farmington Hills, MI
Kenneth R. Ehinger	Lincoln Financial Advisors Corp., Fort Wayne, IN
Alan H. Newman	J.J.B. Hilliard, W.L. Lyons, Inc., Evansville, IN
Bruce J. Young	Mesirow Financial, Inc., Chicago, IL

To Serve Until January 2002

R. Jack Conley	VESTAX Securities Corporation, Hudson, OH
Mary D. Esser	Cressman Esser Securities, Inc., Naperville, IL
Glen Hackmann	Robert W. Baird & Co., Inc., Milwaukee, WI
Robert A. Perrier	Butler, Wick & Co., Inc., Cleveland, OH
Kathleen A. Wieland	William Blair & Company, L.L.C., Chicago, IL

Nominating Committee

Kathy J. Birk	Morgan Stanley Dean Witter, Carmel, IN
Lewis H. Echlin	Roney & Co., L.L.C., Detroit, MI
Paul Murin	David A. Noyes & Co., Chicago, IL
Earl Clifford Oberlin, III	MFI Investments Corp., Bryan, OH
William H. Richardson	Trubee, Collins & Co., Inc., Buffalo, NY

District Director

Carlotta A. Romano
10 South LaSalle, 20th Floor
Chicago, IL 60603-1002
(312) 899-4400

William H. Jackson, Jr.
Renaissance on Playhouse Square
1350 Euclid Avenue, Suite 650
Cleveland, OH 44115
(216) 694-4545

DISTRICT 9

District Committee

To Serve Until January 2000

Irving A. Faigen	Prudential Securities Incorporated, Pittsburgh, PA
Allen S. Jacobson	Gibraltar Securities Co., Florham Park, NJ
James Malespina	Herzog, Heine, Geduld, Inc., Jersey City, NJ
William F. Rienhoff IV	BT Alex. Brown Incorporated, Baltimore, MD

To Serve Until January 2001

Victor M. Frye	The Advisors Group, Inc., Bethesda, MD
Phillip C. Graham	Legg Mason Wood Walker, Incorporated, Philadelphia, PA
Jerome J. Murphy	Janney Montgomery Scott Inc., Philadelphia, PA

To Serve Until January 2002

A. Louis Denton	Philadelphia Corporation for Investment Services, Philadelphia, PA
Thomas W. Neumann	Sherwood Securities Corp., Jersey City, NJ
Joseph S. Rizzello	Vanguard Marketing Corporation, Valley Forge, PA
Gregory R. Zappala	RRZ Public Markets, Inc., Cranberry Township, PA

Nominating Committee

Mark W. Cresap	Cresap, Inc., Radnor, PA
John J. Gray	Janney Montgomery Scott Inc., Philadelphia, PA
Dennis V. Marino	Sherwood Securities Corp., Jersey City, NJ
Eric H. Pookrum	Innova Securities, Inc., Suitland, MD
Robert A. Woeber	Arthurs, Lestrangle & Company Incorporated, Pittsburgh, PA

District Director

John P. Nocella
11 Penn Center
1835 Market Street, Suite 1900
Philadelphia, PA 19103
(215) 665-1180

DISTRICT 10

District Committee

To Serve Until January 2000

Joan Caridi	Salomon Smith Barney, Inc., New York, NY
Harold G. Ognelodh	M. R. Beal & Company, New York, NY
Brian T. Shea	Pershing, Division of Donaldson, Lufkin & Jenrette Securities Corporation, Jersey City, NJ

To Serve Until January 2001

Herbert Ackerman	Neuberger & Berman, LLC, New York, NY
Arthur S. Ainsberg	Brahman Securities Inc., New York, NY
Williams P. Behrens	Ernst & Co., New York, NY
Laurence H. Bertan	Sanford C. Bernstein & Co., Inc., New York, NY
Mark D. Madoff	Bernard L. Madoff Investment Securities, New York, NY
Stuart L. Sindell	Dillon, Read & Co., Inc., New York, NY

To Serve Until January 2002

John Iachello	Ing Baring Furman Selz, New York, NY
Philip V. Oppenheimer	Oppenheimer & Close Inc., New York, NY
Gary Salamone	Schroder & Co. Inc., New York, NY
Eugene A. Schlanger	Nomura Securities International, Inc., New York, NY
Lawrence F. Sherman	Mony Securities Corp., New York, NY
Tom M. Wirtshafter	Nathan & Lewis Securities Inc., New York, NY

Nominating Committee

Michael F. Dura	Schroder & Co., Inc., New York, NY
Joseph A. Gottlieb	Bear, Stearns & Co. Inc., New York, NY
Joan S. Green	BT Brokerage Corporation, New York, NY
Norman H. Pessin	Neuberger & Berman, LLC, New York, NY
Stuart J. Voisin	Stuart, Coleman & Co., Inc., New York, NY

District Director

Barbara Cody, Deputy Director
Gary Liebowitz, Deputy Director
NASD Financial Center
33 Whitehall Street
New York, NY 10004
(212) 858-4000

DISTRICT 11

District Committee

To Serve Until January 2000

Harry H. Branning	Advest, Inc., Hartford, CT
Stephanie Brown	Linsco/Private Ledger Corp., Boston, MA
David C. Gowell	Gowell Securities Corp., Boston, MA
William N. Shiebler	Putnam Mutual Funds Corp., Boston, MA

To Serve Until January 2001

Michael J. Dell'Olio	Investment Management and Research, Inc., South Portland, ME
Frank V. Knox, Jr.	Fidelity Distributors Corporation, Boston, MA
Laurie Lennox	SunLife of Canada (U.S.) Distributors, Inc., Boston, MA
Kenneth Unger	Boston Capital Services, Inc., Boston, MA

To Serve Until January 2002

Stephen O. Buff	BancBoston Robertson Stephens, Inc., Boston, MA
Gerard A. Rocchi	W.S. Griffith & Co., Inc., Hartford, CT
James P. Rybeck	The RYBECK, Division of Fechtor, Detwiler & Co., Inc., Meriden, CT
Dennis R. Surprenant	Cantella & Co., Inc., Boston, MA

Nominating Committee

John A. Goc	Boston Institutional Services, Boston, MA
Grant Kurtz	Advest, Inc., Hartford, CT
Wilson G. Saville	Barrett & Company, Providence, RI
Edward L. Sherr	Carl P. Sherr & Company, Worcester, MA
Mary Toumpas	American Skandia Marketing, Inc., Shelton, CT

District Director

Willis H. Riccio
260 Franklin Street, 16th Floor
Boston, MA 02110
(617) 261-0800