

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
Classic CRD		
<p>As of February 23, 2019, CHX will be renamed to NYSE Chicago, Inc. (NYSE-CHI) in Web CRD and will recognize the following registration categories:</p> <ul style="list-style-type: none"> • Compliance Officer (CR) • Market Maker Authorized Trader-Equities (MT) • General Securities Sales Supervisor (SU) 	All	Firms, SROs
<p>Effective March 1, 2019, MIAX EMERALD will be added as an SRO to Forms U4, U5, BD and BDW. The following individual registration categories will be available:</p> <ul style="list-style-type: none"> • General Securities Representative (GS) • General Securities Principal (GP) • General Securities Sales Supervisor (SU) • Registered Options Principal (OP) • Financial and Operations Principal (FN) • Compliance Official (CO) • Securities Trader (TD) • Securities Trader Principal (TP) • Securities Trader Compliance Officer (CT) • Canada -Limited General Securities Registered Representative (CD) • Canada -Limited General Securities Registered Representative (CN) • United Kingdom-Limited General Securities Representative (IE) 	Forms U4, U5, BD & BDW	Firms, SROs
<p>Representatives that qualified as an RP prior to 10/01/2018 will be able to transfer their RP registration to another firm without having to obtain new qualifier exams/positions that became effective 10/01/2018. They will also be able to submit a partial U5 for the RP position without receiving a completeness check error.</p>	Qualifications	Firms
<p>Links to view individual information in the new version of CRD will be added to the classic CRD Site Map and Individual Composite pages.</p>	Individual Search	Firms, FINRA