

I strongly support the requirement that brokers and broker/dealers, and other associated entities and persons be required to promptly produce insurance coverage.

1. This will speed up arbitration's, avoiding the unnecessary motion practice to obtain these highly relevant documents.

2. Given the mandate that arbitration be quicker and less onerous than Court, it is only sensible to require early production of insurance policies so the parties can attempt to resolve cases earlier. It should be noted that these documents are required to be produced in court proceedings in Missouri and Kansas, where I practice, WITHOUT plaintiffs' even asking for them.

3. As a practical matter, most arbitration panels order these documents to be produced. Therefore, this proposed rule change will conform to practice while obviating needless motion practice.

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