

Post Oak Municipal Advisors supports the CE enhancements being considered by the CE council. In particular, we support the “Maintaining Qualification Status Post Termination.” If an individual could take CE courses and maintain his or her qualification status, it would be beneficial to the industry. Currently, individuals who leave the workforce to have or raise children, take care of elderly parents, or handle a family crisis are penalized for leaving the workforce for more than two years. The industry loses many qualified individuals who may not return to work because they will have to retake licensing exams. In addition, individuals who currently leave a broker/dealer for a municipal advisory firm such as Post Oak Municipal Advisors are forced to give up licensing qualifications even though they are still employed and involved in the industry. The current termination status rules are outdated and not in line with other industries. For example, many attorneys and CPAs can leave the workforce and put their license in inactive status and are not forced to give up their license when taking a leave of absence for more than two years. We support an unlimited duration of maintaining the license as long as the CE requirements are met.

Kind Regards,

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