

Certified Regulatory and Compliance Professional (CRCP) Program Week 2
Sample Week II Schedule

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	
Meals and Classes: University of Georgetown McDonough School of Business Kank B. Hariri Building 3700 O St NW Washington DC 20057 Classroom: TBD Accommodations: TBD		8:30 - 9:00 Breakfast 7:30 - 8:30 Program Introduction,Mission, and Logistics	9:00 - 10:30 Session 5 Communications with the Public: FINRA Rule 2210	9:00 - 10:30 Session 9 Anti-Money Laundering Regulations	9:00 - 10:30 Session 13 Advanced Suitability: Case Simulation	9:00 - 10:30 Session 17 Privacy & Data Protection	
		9:00 - 10:30 Session 1 Investment Advisor Regulation	10:45 - 12:15 Session 6 Market Regulations	10:45 - 12:15 Session 10 Top Compliance Challenges	10:45 - 12:15 Session 14 Business Ethics in the Financial Industry	10:45 - 12:15 Session 18 Crowdfunding	
		Break 10:30 - 10:45					
		10:45 - 12:15 Session 2 Foreign Markets & Securities Regulation	1:00 - 2:30 Session 7 Dodd-Frank	1:30 - 3:00 Session 11 Retirement Savings & Broker-Dealer Sales Practices	1:30 - 3:00 Session 15 Business Ethics in the Financial Industry	1:30 - 3:30 Assessment	
		Lunch & Photo 12:15 - 1:30					
		1:30 - 3:00 Session 3 Dodd-Frank	2:45 - 4:15 Session 8 Broker-Dealer Litigation, Arbitration & Enforcement Proceedings	3:15 - 4:45 Session 12 Municipal Securities Regulation	3:15 - 4:45 Session 16 International Financial Reguation	End of Program Safe Travels!	
		Break 3:00 - 3:15					
		3:15 - 4:45 Session 4 Insider Trading	4:30 - 6:00 Homework	Reception 6:00-6:30	5:00 - 6:00 Homework		
		Break 4:45 - 5:00					
		Note: This schedule is subject to change.	4:00 - 6:00 Registration and Welcome Reception	Dinner 6:00 - 8:00	Dinner 6:00 - 8:00	Speaker: TBD Dinner 6:30 - 8:00	Dinner 6:00 - 8:00
			Break 4:45 - 5:00				
			5:00 - 6:00				