

I believe this will again have a disproportionate effect on small firms. If FINRA wants small firms out of the industry, let us know now. Quit nickel and diming us. Small firms can't pay for register representatives (such as forgivable loans) like the larger firms can. So often times we have to take reps with minor nicks on their disclosure record. Such as financial disclosures. Please call me if you have questions.

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