

## Attn: Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #25-19) 7/22/2019 Point.360 (PTSXQ)

Notice has been received that the above Company's Chapter 11 Plan of Reorganization became effective on 6/11/2019. On the effective Date, All equity security interests, including stock, options and warrants, are cancelled on the Effective Date. Equity Interest holders will not receive any distribution under the Plan. Please consult the company's bankruptcy filings for thorough details.<sup>1</sup>

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

<sup>&</sup>lt;sup>1</sup> See e.g., In re: Point.360., A California corporation, Debtor. Chapter 11 Case No. 2:17-bk-22432 WB. Plan of Reorganization Jointly Proposed By Medley Capital Corporation, Medley Opportunity Fund II LP And Visual Data Media Services, Inc.