



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #27-19) 08/07/2019
Fairway Energy, LP (FRLQ)

Notice has been received that the above Company's Chapter 11 Plan of Liquidation became effective on 08/01/2019. On the effective Date, all equity security interests are liquidated and dissolved on the Effective Date. Equity Interest holders will not receive any distribution under the Plan. Please consult the company's bankruptcy filings for thorough details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: Fairway Energy, LP, et al.*, Debtor. Chapter 11 Case No. 18-12684 (LSS) Jointly Administered. Findings of Fact, Conclusions of Law, and Order (I) Approving The Disclosure Statement Pursuant to Sections 1125 and 1126(c) of the Bankruptcy Code and (II) Confirming the Chapter 11 Plan of Liquidation of Fairway Energy, LP and its Affiliated Debtors and Debtors in Possession.