

SIGN UP FOR FINPRO

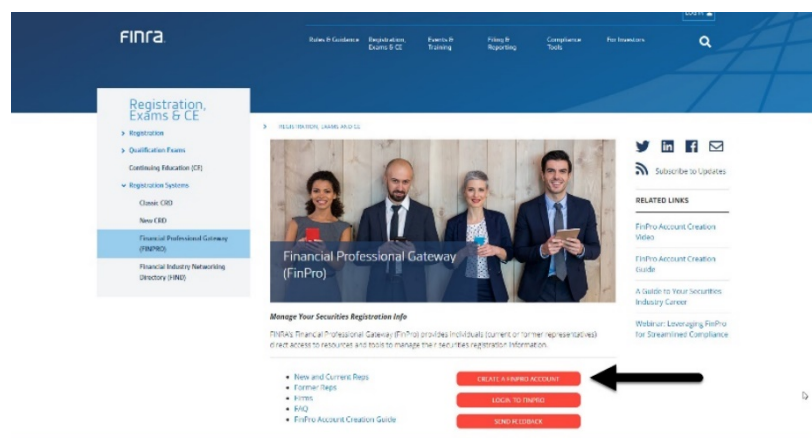
The [Financial Professional Gateway \(FinPro\)](#) is a secure system for current and former registered representatives. Follow the steps below to create a FinPro account, so you can access your individual industry record and other compliance resources.

1. [Create Your Profile](#)
2. [Set up Your Account](#)
 - a. [Password Reset](#)
 - b. [Security Questions and Answers](#)
3. [Verify Your Identity](#)

1. Create Your Profile

1. Open a new internet browser window and go to <https://finpro.finra.org/registeruser/>.

You can also navigate to the page by clicking the “Create a FinPro Account” button on FINRA’s FinPro page: <https://www.finra.org/finpro>



Note: If you previously created FINRA credentials to request an exam enrollment, you can use the same user ID and password to log in to FinPro and then update your profile. See the [Request Access to FINRA Systems](#) page to learn more.

2. Read and acknowledge the FINRA Privacy Statement.

FINRA Privacy Statement

This Privacy Statement relates to the online information collection and use practices of this FINRA Enrollment Program and embedded forms and applications (this "Web site"). This Privacy Statement complements the full FINRA Privacy Policy and may be updated from time to time. Updates to FINRA's privacy policies will be posted here and/or in the full FINRA Privacy Policy, as appropriate.

To enable you to be employed in certain positions or participate in certain matters or opportunities in the securities industry in the United States, FINRA collects certain personal data from you for identity verification and regulatory purposes. Personal information may include your name, address, phone number, fingerprints, employment history and any other information that identifies or can be used to identify the person to whom such information pertains. FINRA may use your personal information submitted via this Web site for any regulatory purpose.

This Web site is operated in the United States. If you are located outside of the United States, please be aware that any information you provide to us will be transferred to the U.S. and subject to U.S. laws. By using our Web site, participating in any of our services and/or providing us with your information, you consent to this transfer of data. Additionally, by using our Web site, participating in any of our services and/or providing us with your information you also consent to the collection, transfer, manipulation, storage, disclosure and other uses of your information as described in this Privacy Statement and the FINRA Privacy Policy. If you do not consent to this Privacy Statement or our FINRA Privacy Policy, please do not use this Web site.

By clicking the button below, I certify that I have read and understand all of the terms of the FINRA Enrollment Program Agreement and Terms of Use and intend to form a binding agreement with FINRA on those terms without modification or amendment thereto. If I am accepting this agreement on behalf of an organization, I certify that I have the authority of that organization to enter into this agreement.

AgreeDisagree

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- Complete the Create Profile screen. See the tables below and on the following page for more information about properly submitting your profile information.

Required “Create Profile” Fields

Field	Notes
First Name	Please provide your legal first name. This field must match the information provided on registration filings submitted by your firm.
Last Name	Please provide your legal last name. This field must match the information provided on registration filings submitted by your firm.
New User ID	Click the link to the right of this field to auto-generate a unique user id for your FinPro account. Note: User credentials created by an administrator at your firm for another FINRA system cannot be used with FinPro. If you created your own credentials for another FINRA system, you can use them to log in to FinPro and then update your profile. Learn more on the Request Access to FINRA Systems page.
Do you have an SSN?	If you have a US SSN, you are required to answer “Yes.”
SSN	If you have a US SSN, you are required to provide it in this field. Do not enter any other national identification number or random digits. If you do not have a US SSN, select “No,” and this SSN field will transform into a mandatory “CRD#” field. Various errors may appear if the SSN provided on the “Create Profile” screen does not match information on file in other FINRA systems. Please contact FINRA if you receive an SSN error message that you cannot resolve.

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Required “Create Profile” Fields, Continued

Field	Notes
CRD#	If you do not have a US SSN, you are required to provide your individual CRD# instead (see screenshot below). All FinPro users must have a CRD# assigned in order to access the system. If you hold or have held approved registrations, your CRD# will be listed on your BrokerCheck report. Contact your firm or the FinPro support team if you need assistance.
Date of Birth	Date of birth must be entered in the format MM/DD/YYYY. Various errors may appear if the date of birth provided on the “Create Profile” screen does not match information on file in other FINRA systems. Please contact FINRA if you receive an error message that you cannot resolve.
Residential Address	Current residential address information is required. If the system cannot confirm that the details provided in these fields are geographically accurate, you will be prompted to confirm the information before proceeding.
City	
State	
Postal Code	
Country	Please note that you should provide your current residential address, even if it has not yet been updated on your industry record. This information is used to verify your identity and finish creating your FinPro account.
Business Phone	Please provide an appropriate business phone number.
Personal Phone	A personal phone number is also required and may be used to verify your identity while creating your FinPro account.
Personal Email	Please provide a personal email address that you will maintain access to when not with a firm. This email address is used to create your account, complete password resets, and send important FinPro system notifications.

Financial Professional Gateway

Complete Profile Validate Account Verify Identity

Create Profile

Profile First Name* Middle Name Last Name* Suffix

New User ID* Generate new ID from First and Last Name

Do you have an SSN? CRD#

☐ Yes ☒ No

Your SSN is required to verify your identity. If you have never been issued an SSN, you will be required to enter your CRD#.

Residential Address Line 1*

City* State* Postal Code* Country* United States

Business Phone* Personal Phone*

Personal phone may be used as part of identity verification (one-time passcode text) during the registration process.

Business Email Personal Email*

Personal email is used to validate your account, complete the registration process, and reset passwords.

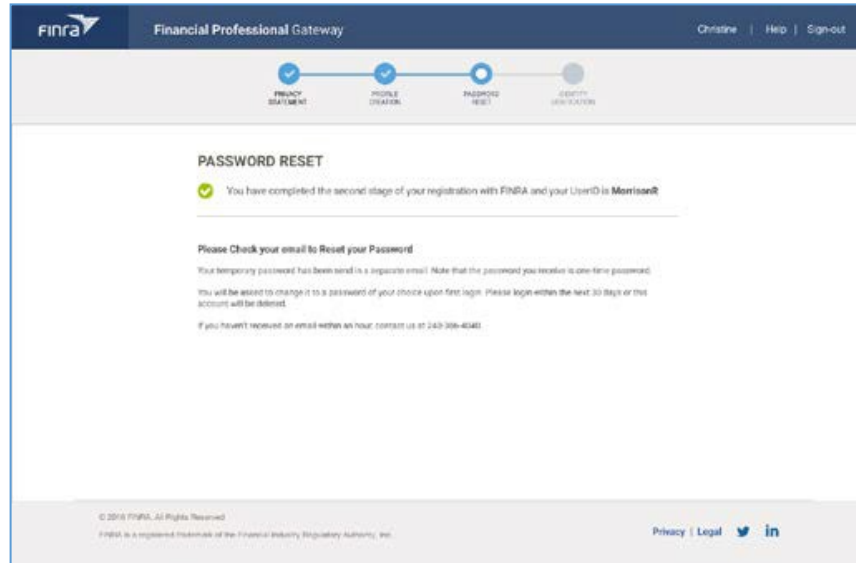
If you do not have a US SSN, select “No.” The system will prompt you for your CRD# and date of birth.

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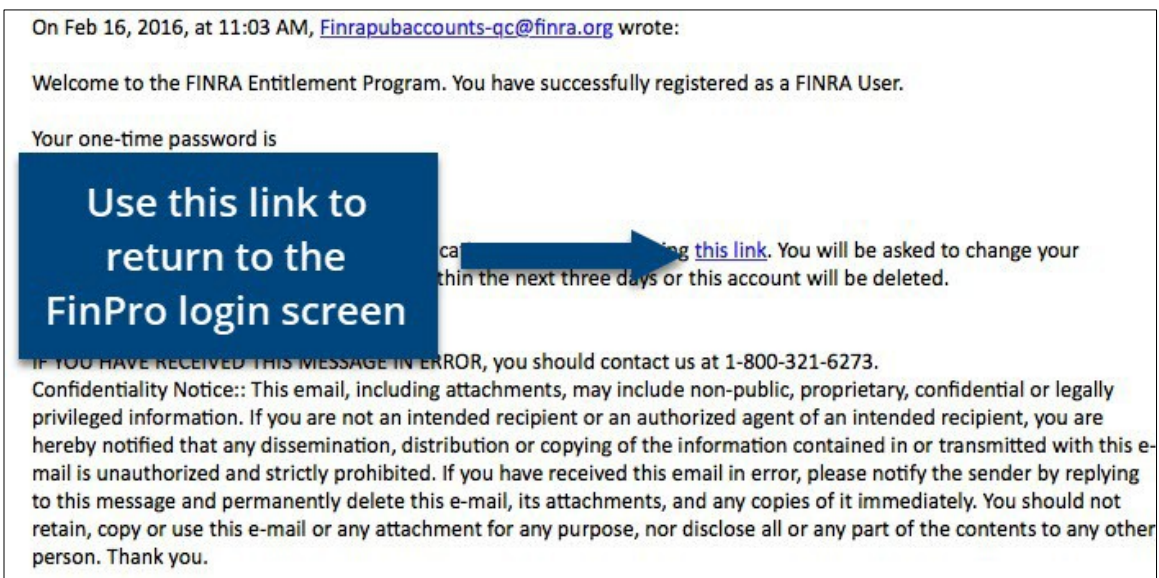
2. Set up Your Account

A. Password Reset

4. After creating a new FinPro account, your user ID will appear on a new screen.



5. Check your **personal** email account for a message from Finrapubaccounts@finra.org with your temporary password. Be sure to check your “spam” or “junk” email folders, and add [FINRA to your email client’s safe sender list](#) to ensure you receive future emails in your inbox.



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- The system will then prompt you to reset your password.

Password Reset

Fields marked with * are required fields.

User ID *

Current Password *

New Password *

Confirm New Password *

Continue

Follow these instructions to reset password.

- Enter your user ID.
- Enter your current password for security.
- Enter the new password you would like to use.
- Enter the new password again to confirm.
- Click continue.
- After you complete the Password Reset process you will be asked to login again.

Passwords must meet the following criteria:

- Must contain at least eight characters
- Cannot contain your user ID
- Cannot contain your first, middle, or last name
- Cannot contain the character "*", "&", "%", or " " (asterisk, ampersand, percent, or space)
- Must contain characters from at least three of the following four categories:
 - Uppercase characters (A-Z)
 - Lowercase characters (a-z)
 - Numeric characters (0-9)
 - Special characters (!, \$, #, etc.)

B. Security Questions and Answers

- Log back in with your new password and set security questions. Please keep in mind that your answers will not be validated.

Security Questions and Answers:

User ID: MorrisonR

Please select security questions and provide answers. Choose answers that are easy to remember. Only use alphanumeric characters and use one word answers when possible. We may ask you to answer these questions as part of a security check when you call us or when you login from an unregistered device. Fields marked with * are required fields.

Question 1:

In what city were you married? (Enter full name of city)

Answer: *

Question 2:

What was the nickname of your grandfather?

Answer: *

Question 3:

In what city was your mother born? (Enter full name of city)

Answer: *

☐ Remember this computer (Choose this option only if this is your personal computer & you trust this device / computer)

Save

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3. Verify Your Identity

8. The next screen will prompt you to start the ID verification process. Press “Continue.”

The screenshot shows the FINRA Financial Professional Gateway interface. At the top, there's a progress bar with four steps: PROFILE STATEMENT, PROFILE CREATION, PASSWORD RESET, and IDENTITY VERIFICATION. The IDENTITY VERIFICATION step is currently active. Below the progress bar, the heading "IDENTITY VERIFICATION" is followed by a green checkmark icon and the text: "You have completed the third stage of resetting your password and establishing your challenge questions." Below this, a paragraph states: "Now you will be directed to an online identity verification process which is provided by TransUnion, a third-party vendor. You will be asked to answer a series of multiple choice questions in order to verify your identity. We strongly encourage you to review the Frequently Asked Questions about online registration, which provide greater detail about the identity verification process." At the bottom center, there is a blue "Continue" button. The footer contains copyright information for 2018 FINRA, a privacy link, and social media icons for Twitter and LinkedIn.

9. The system will offer one or more of the following options:

The screenshot displays the TransUnion authentication options screen. At the top, a progress bar shows three steps: Complete Profile, Validate Account, and Verify Identity. The Verify Identity step is active. Below the progress bar, the heading "Powered by TransUnion" is followed by the text: "Please select your preferred method of Authentication". There are three radio button options: "Deliver a One-Time Passcode via Text Message to (***).9006" (which is selected), "Deliver a One-Time Passcode via Voice Call to (***).9006", and "Answer a series of multiple choice security questions". Below the options, a paragraph states: "You will have 15 minutes to enter the code on the following screen once it is sent. Standard message rates and data charges from your carrier apply to receiving messages. Check with your carrier if you have any questions." At the bottom center, there is a blue "Submit" button.

- A one-time passcode can only be sent to a personal phone number that can be linked directly to you. This means that individuals with a multi-party plan may not be able to use this option for identity verification.
- TransUnion will offer security questions if there is sufficient information available in their database to do so. See the [FinPro Identity Verification FAQ](#) for more information.

Note: If there is not enough data to generate questions or provide a one-time passcode, the system will prompt you to call FINRA for additional support.

10. Once you are verified, you will have access to FinPro.

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