

# SIGN UP FOR FINPRO

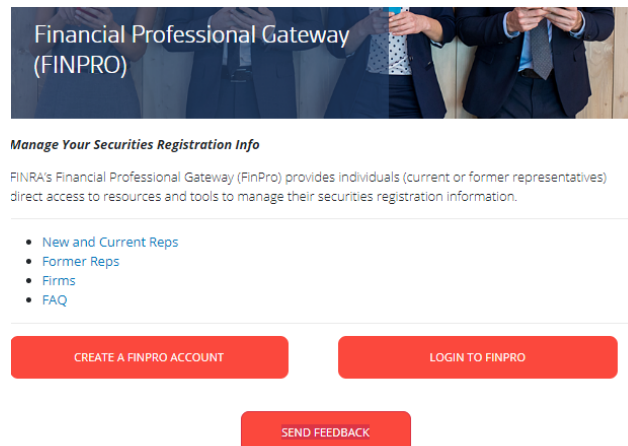
The [Financial Professional Gateway \(FinPro\)](#) is a secure system for current and former registered representatives. Follow the steps below to create a FinPro account, so you can access your individual industry record and other compliance resources.

1. [Create Your Profile](#)
2. [Set up Your Account](#)
  - a. [Password Reset](#)
  - b. [Security Questions and Answers](#)
3. [Verify Your Identity](#)

## 1. Create Your Profile

*(Have you enrolled in a FINRA-administered exam? You can use your user ID and password to access FinPro; however, you will be required to [verify your identity after logging in](#). You cannot use accounts for other [FINRA Systems](#) that are also associated with a firm ID# to access FinPro.)*

1. Open a new internet browser window and input <https://finpro.finra.org/registerUser/> or click the “Create a FinPro Account” button on <https://www.finra.org/registration-exams-ce/finpro>.



Financial Professional Gateway (FINPRO)

**Manage Your Securities Registration Info**

FINRA's Financial Professional Gateway (FinPro) provides individuals (current or former representatives) direct access to resources and tools to manage their securities registration information.

- [New and Current Reps](#)
- [Former Reps](#)
- [Firms](#)
- [FAQ](#)

CREATE A FINPRO ACCOUNT      LOGIN TO FINPRO

SEND FEEDBACK

2. Read and acknowledge the FINRA Privacy Statement.



FINRA Privacy Statement

This Privacy Statement relates to the online information collection and use practices of this FINRA Entitlement Program and embedded forms and applications (this "Web site"). This Privacy Statement complements the full FINRA Privacy Policy and may be updated from time to time. Updates to FINRA's privacy policies will be posted here and/or in the full FINRA Privacy Policy, as appropriate.

To enable you to be employed in certain positions or participate in certain matters or opportunities in the securities industry in the United States, FINRA collects certain personal data from you for identity verification and regulatory purposes. Personal information may include your name, address, phone number, fingerprints, employment history and any other information that identifies or can be used to identify the person to whom such information pertains. FINRA may use your personal information submitted via this Web site for any regulatory purpose.

This Web site is operated in the United States. If you are located outside of the United States, please be aware that any information you provide to us will be transferred to the U.S. and subject to U.S. laws. By using our Web site, participating in any of our services and/or providing us with your information, you consent to this transfer of data. Additionally, by using our Web site, participating in any of our services and/or providing us with your information you also consent to the collection, transfer, manipulation, storage, disclosure and other uses of your information as described in this Privacy Statement and the FINRA Privacy Policy. If you do not consent to this Privacy Statement or our FINRA Privacy Policy, please do not use this Web site.

By clicking the button below, I certify that I have read and understand all of the terms of the FINRA Entitlement Program Agreement and Terms of Use and intend to form a binding agreement with FINRA on those terms without modification or amendment thereto. If I am accepting this agreement on behalf of an organization, I certify that I have the authority of that organization to enter into this agreement.

Agree      Disagree

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3. Complete the Create Profile screen.

The screenshot shows the 'Create Profile' form with the following fields and options:

- Profile:** First Name\*, Middle Name, Last Name\*, Suffix\*
- New User ID\*:** A text input field with a link 'Generate New ID from First and Last Name'.
- DOB\*:** A date input field with a calendar icon.
- Date of Birth\*:** A date input field with a calendar icon.
- Residential Address Line 1\*:** A text input field.
- Residential Address Line 2:** A text input field.
- City\*:** A text input field.
- State\*:** A dropdown menu.
- Postal Code\*:** A text input field.
- Country\*:** A dropdown menu with 'United States' selected.
- Business Phone\*:** A text input field.
- Personal Phone\*:** A text input field.
- Business Email\*:** A text input field.
- Personal Email\*:** A text input field.

At the bottom, there is a checkbox for 'Do not e-mail' and a 'Register' button. A note states: 'Personal phone may be used as part of identity verification (one-time passcode) sent during the registration process. Personal email is used to activate your account, complete the registration process, and send passwords.'

**Note:** FinPro may use your personal phone number to transmit a one-time passcode to verify your identity. Your personal email address will be used to send password reset requests and other important FinPro notifications.

## 2. Set up Your Account

### A. Password Reset

4. After creating a new FinPro account, your user ID will appear on a new screen.

The screenshot shows the 'PASSWORD RESET' confirmation screen in the 'Financial Professional Gateway'. The page includes a progress bar at the top with four steps: 'FINPRO SIGNUP', 'PROFILE CREATOR', 'PASSWORD RESET', and 'ACCOUNT VERIFICATION'. The 'PASSWORD RESET' step is currently active.

**PASSWORD RESET**

✓ You have completed the second stage of your registration with FINRA and your User ID is **MarissaR**.

**Please Check your email to Reset your Password**

Your temporary password has been sent in a separate email. Make that the password you receive is one-time password. You will be asked to change it to a password of your choice upon first login. Please login within the next 30 days of this account will be deleted.

If you haven't received an email within an hour, contact us at 243-386-4040.

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5. Check your **personal** email account for a message from [Finrapubaccounts@finra.org](mailto:Finrapubaccounts@finra.org) with your temporary password. Be sure to check your “spam” or “junk” email folders, and add [FINRA to your email client’s safe sender list](#) to ensure you receive future emails in your inbox.

On Feb 16, 2016, at 11:03 AM, [Finrapubaccounts-gc@finra.org](mailto:Finrapubaccounts-gc@finra.org) wrote:

Welcome to the FINRA Entitlement Program. You have successfully registered as a FINRA User.

Your one-time password is

Use this link to return to the FinPro login screen

clicking [this link](#). You will be asked to change your password within the next three days or this account will be deleted.

IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, you should contact us at 1-800-321-6273.

Confidentiality Notice: This email, including attachments, may include non-public, proprietary, confidential or legally privileged information. If you are not an intended recipient or an authorized agent of an intended recipient, you are hereby notified that any dissemination, distribution or copying of the information contained in or transmitted with this e-mail is unauthorized and strictly prohibited. If you have received this email in error, please notify the sender by replying to this message and permanently delete this e-mail, its attachments, and any copies of it immediately. You should not retain, copy or use this e-mail or any attachment for any purpose, nor disclose all or any part of the contents to any other person. Thank you.

6. The system will then prompt you to reset your password.

The screenshot shows a 'Password Reset' form with the following fields: User ID, Current Password, New Password, and Confirm New Password. A 'Continue' button is at the bottom left. On the right, there are instructions for resetting the password and a list of password criteria: must be at least eight characters, cannot contain user ID, first, middle, or last name, and must contain characters from at least three of four categories: uppercase, lowercase, numeric, and special characters.

## B. Security Questions and Answers

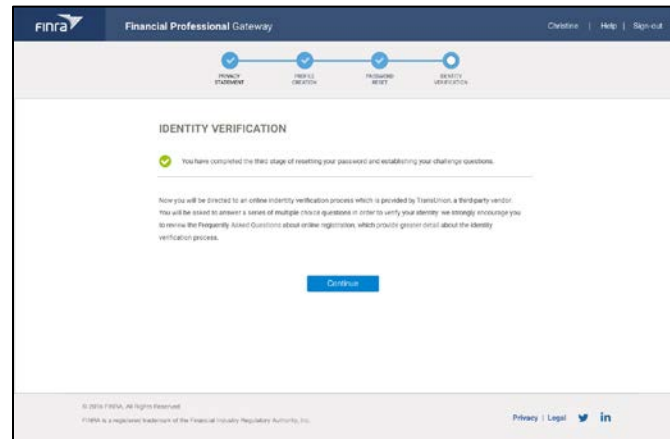
7. Log back in with your new password and set security questions. Please keep in mind that your answers will not be validated.

The screenshot shows a 'Security Questions and Answers' form for user 'MerileeR'. It contains three questions: 'In what city were you married?', 'What was the nickname of your grandfather?', and 'In what city was your mother born?'. Each question has an 'Answer' field. At the bottom, there is a checkbox for 'Remember this computer' and a 'Save' button.

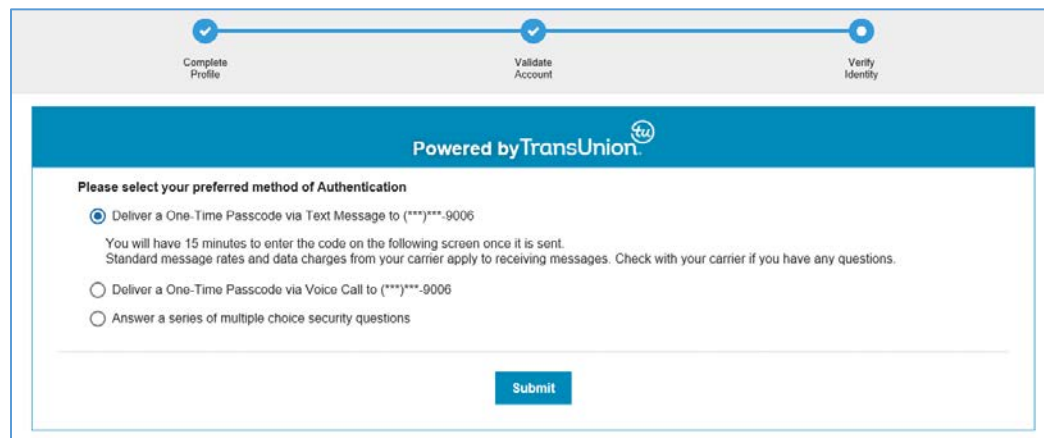
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## 3. Verify Your Identity

8. The next screen will prompt you to start the ID verification process. Press “Continue.”



9. The system will offer one or more of the following options:



- A one-time passcode can only be sent to a personal phone number that can be linked directly to you. This means that individuals with a multi-party plan may not be able to use this option for identity verification.
- TransUnion will offer security questions if there is sufficient information available in their database to do so. See the [FinPro Identity Verification FAQ](#) for more information.

**Note:** If there is not enough data to generate questions or provide a one-time passcode, the system will prompt you to call FINRA at (240) 386-4040, for additional support.

10. Once you are verified, you will have access to FinPro.

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