SIGN UP FOR FINPRO

The Financial Professional Gateway (FinPro) is a secure system for current and former registered representatives. Follow the steps below to create a FinPro account, so you can access your individual industry record and other compliance resources.

1. **Create Your Individual Account**
   a. Using Social Login
   b. Using your email
   c. FINRA Confirmation Email

2. **Setting Your Personalized Password**
   a. Activate Password
   b. Complete The Fields
   c. Log In

3. **Create Your FinPro Profile**
   a. Log In
   b. Security Questions and Answers
   c. Privacy Statement
   d. Enter Identifying Information

4. **Verify Your Identity**

5. **FinPro Home Page**

6. **Required Profile Fields**
Create Your Individual Account

If you have self-enrolled for an exam or used certain FINRA systems, you already have an account. Skip ahead to Create your FinPro profile. You only need to login and create your FinPro profile. Open a new internet browser window and go to http://www.finra.org/finpro then click on Create an Account.

Click Create Account Here
SIGN UP FOR FINPRO

a. Using Social Login

![Create Individual Account]

Note: The social login feature allows public/individual authenticated users who require an account to access FINRA’s systems to register and log in using their existing Google account credentials. Social login makes the onboarding process easy and simplifies the authentication process by using user’s existing Google account information and credentials.

b. Using your email address.
   i. Click on Continue with Email to begin.

![Create Individual Account]

   ii. Complete the form then click on Accept and Continue.
iii. Your individual account has been created.

![Create Account](image1)

**FINRA Confirmation Email**

You will receive an email from noreply@finra.org with your user ID. Be sure to check your “spam” or “junk” email folders, and add FINRA to your email client’s safe sender list to ensure you receive future emails in your inbox.

![FINRA Entitlement Information/New Account – User ID](image2)
Setting Your Personalized Password

a. You will receive an email from noreply@finra.org to activate your password. Click on Activate Password.

b. Complete the fields to reset your password, then select Save.
c. Your password has been reset, click on **Back to Log In** to create your FinPro profile.

Create Your FinPro Profile

a. Login to your account.
   i. Enter your User ID or personal email and password, then click on **Accept and continue**. You may also click on **Sign in with Google**.
b. Select your security questions and corresponding answers, then click **Continue**.

c. Read and acknowledge the FINRA Privacy Statement. You must click on **Agree** to continue.
SIGN UP FOR FINPRO

FINRA Privacy Statement

This Privacy Statement relates to the online information collection and use practices of the FINRA Financial Professional Gateway website, including any embedded forms and applications. This Privacy Statement complements the full FINRA Privacy Policy and may be updated from time to time. Updates to FINRA’s privacy policies will be posted here and/or in the full FINRA Privacy Policy as appropriate.

You are not required to provide personal information to participate in certain activities or take certain actions on the financial professional’s website. However, if you choose not to provide such information, you may not be able to access certain features of the website. Personal information may include your name, address, email address, phone number, Social Security number, employment history, business email address, and any information that identifies or can be used to identify the person to whom such information pertains. FINRA may share your personal information submitted via this Web site for regulatory purposes. In addition, FINRA may share your personal contact information with the firm where you are registered or they may verify you are eligible to submit important and required information.

This Web site is operated in the United States. The US may not have the same level of data protection on your home country. If you are located outside of the United States, please be aware that any information you provide to us will be transferred to the U.S. and subject to U.S. law. By using our Web site, participating in any of our services and/or providing us with your information, you consent to this transfer of data. Additionally, by using our Web site, participating in any of our services and/or providing us with your information, you also consent to the collection, transfer, manipulation, storage, disclosure and other uses of your information as described in this Privacy Statement and the FINRA Privacy Policy. As a regulatory organization, FINRA has an obligation to keep records, including your data, for a minimum of five years in order to meet our regulatory requirements. If you do not consent to the Privacy Statement or our FINRA Privacy Policy, please do not use this Web site.

By clicking the button below, I certify that I have read, understood, and accepted the CE Licensed Product End User Terms of Use and to form a binding agreement with FINRA on those terms without modification or amendment thereof.

Agree

Diagree

QUESTIONS? (240) 386-4040 OR FINPROFEEDBACK@FINRA.ORG MONDAY THROUGH FRIDAY, 8 A.M. – 8 P.M. EASTERN TIME
e. Identifying Information
   i. Enter the additional information requested. Once you select Yes, enter your SSN, check the reCAPTCHA, then click on Next to proceed with the identity verification process.

   ![Image of Additional Information Needed form]

   **Note:** If you have an SSN and chose to enter your CRD number the system will redirect you to enter the SSN to proceed. If you chose not to enter the SSN you will need to contact the FINRA Support Center for assistance. If you do not have an SSN you should select No, then enter your CRD number.

   ii. Complete the form to verify your identity, then click on Next.
Identity Verification

Click **Continue** to proceed.

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Identity Verification

You will now be asked to complete an online identity verification process powered by TransUnion®, a nationally recognized identity verification service provider. Two types of verification may be used including one-time passcode or a knowledge-based exam to verify your identity. FINRA does not store the questions generated by TransUnion® or your responses.

We strongly encourage you to review the **Frequently Asked Questions** about online registration, which provide greater detail about the identity verification process.

[Continue]

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Once you have been verified you will have access and be redirected to your FinPro account home page with the ‘Summary’ section at the top.

**FinPro Home Page**

The summary section displays your name, CRD number, email address(es) and continuing education status. You can click on ‘My Account’ in the top right corner to update contact information.
## Required Profile Fields

<table>
<thead>
<tr>
<th>Field</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>First Name</td>
<td>Please provide your legal first name. This field must match the information provided on registration filings submitted by your firm.</td>
</tr>
<tr>
<td>Last Name</td>
<td>Please provide your legal last name. This field must match the information provided on registration filings submitted by your firm.</td>
</tr>
<tr>
<td>New User ID</td>
<td>Click the link to the right of this field to auto-generate a unique user ID for your FinPro account.</td>
</tr>
<tr>
<td>Do you have an SSN?</td>
<td>If you have a US SSN, you are required to answer Yes.</td>
</tr>
<tr>
<td>SSN</td>
<td>If you have a US SSN, you are required to provide it in this field. Do not enter any other national identification number or random digits.</td>
</tr>
<tr>
<td>CRD#</td>
<td>If you do not have a US SSN, you are required to provide your individual CRD number instead.</td>
</tr>
<tr>
<td>Date of Birth</td>
<td>Date of birth must be entered in the format MM/DD/YYYY.</td>
</tr>
<tr>
<td>Residential Address</td>
<td>Current residential address information is required. If the system cannot confirm that the details provided in these fields are geographically accurate, you will be prompted to confirm the information before proceeding.</td>
</tr>
<tr>
<td>Personal Phone</td>
<td>A personal phone number is also required and may be used to verify your identity while creating your FinPro account.</td>
</tr>
<tr>
<td>Business Phone</td>
<td>Please provide an appropriate business phone number.</td>
</tr>
<tr>
<td>Personal Email</td>
<td>Please provide a personal email address that you will maintain access to when not with a firm. This email address is used to create your account, complete password resets, and send important FinPro system notifications.</td>
</tr>
</tbody>
</table>

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