

Degree?
Done.

Job prospects?
Not yet.

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Key Features of the Securities Industry Essentials® (SIE®) Exam

This introductory-level exam tests your knowledge of basic industry information, such as types of products and their risks; the structure of the markets, regulatory agencies and their functions; and prohibited practices.

- ▶ Number of Questions: 75
- ▶ Format: Multiple choice
- ▶ Duration: 1 hour and 45 minutes
- ▶ Cost: \$60

Learn more about the exam and download the study guide at www.finra.org/industry/sie.

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