

**District 10 – New York Region Candidates**

- Robert Hackel, Chief Operating Officer, R.F. Lafferty & Co. Inc.
- James Port, Chief Compliance Officer, Broadbridge Business Process Outsourcing, LLC

## Candidate Profile Form—Regional Committee Election

Candidate Name: Robert Hackel

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Title: Chief Operating Officer

Firm: R.F. Lafferty & Co. Inc.

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### Candidate Biography and Personal Statement

Robert M. Hackel  
COO, R.F. Lafferty & Co., Inc.

Robert M. Hackel is Chief Operating Officer of R.F. Lafferty & Co. Inc. and is responsible for overseeing the firm's business expansion and operations. Mr. Hackel also serves as the Chief Compliance Officer of the Firm's SEC Registered Investment Advisory practice. Mr. Hackel has built out the Investment Banking, Institutional Sales and SEC Registered Investment Advisory divisions for the firm.

Mr. Hackel previously served as Managing Director for five years at R.F. Lafferty, where he was responsible for the firm's corporate strategy and business development efforts. Mr. Hackel built his career at the firm, starting out as a Registered Representative, where he worked with both retail and institutional clients. Previous to R.F. Lafferty, Mr. Hackel worked at Pershing LLC from 1994 to 1996 on their trade support desk.

Mr. Hackel previously served on the Board of NAIBD, the National Association of Independent Broker Dealers as well as FINRA District 10 Committee. He currently serves on the Board of NIBA, the National Investment Banking Association.

Mr. Hackel received a Bachelor's of Business Administration in Finance from Pace University in New York. Mr. Hackel holds certification in FINRA Series 4,7,24,55,63,65 and 87 licenses and is also licensed to sell life, health and variable annuities.

### Optional Links:

Link to personal website, resume or CV:

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Link to YouTube video:

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