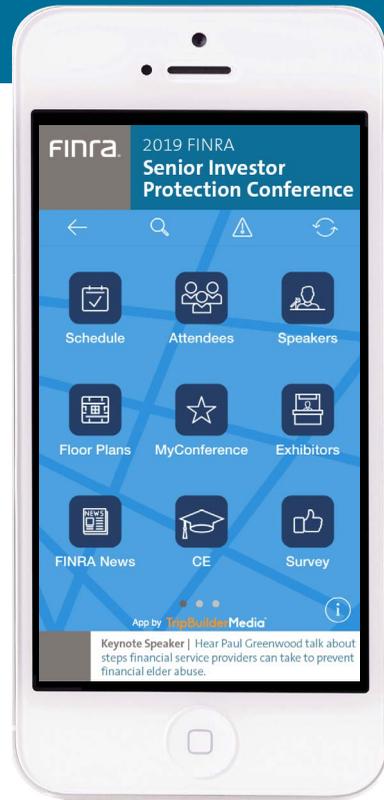


APP INFORMATION

Senior Investor Protection Conference App

Registered attendees are granted access to the Senior Investor Protection Conference app.

All materials—including session presentations, handouts and the conference schedule—are available through the app.



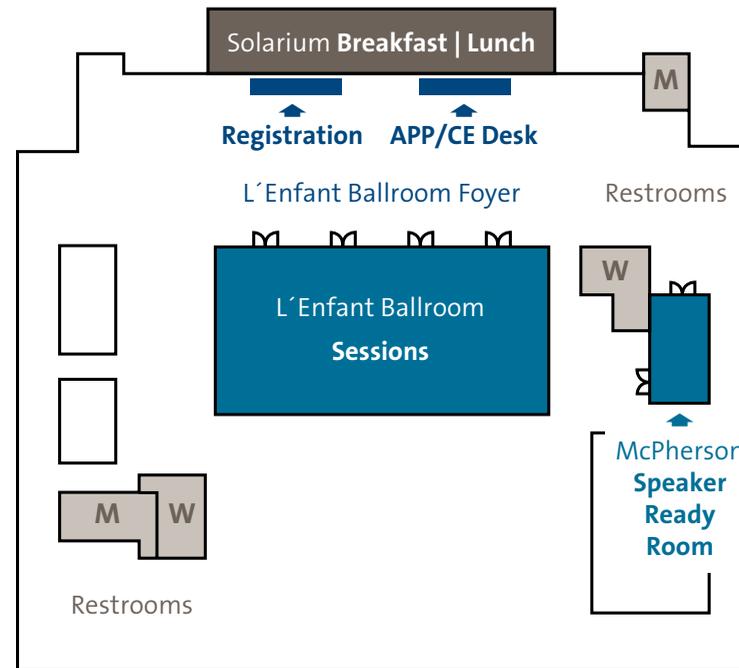
Download the Conference App

Download the FINRA Senior Investor Protection Conference app for access to all event materials—including session presentations, handouts and session descriptions. See the App Tip Sheet for more information.

1. Go to the App store on your mobile device (**Google Play Store/iTunes App Store**).
2. Search for “**FINRA’s Events**” in the store and download the app.
3. When the download is complete, open the app.
4. Enter your **user ID** (the email address you used to register for the conference) and **password** (finra1939). If you have previously logged into the app and changed your password, the same password will apply.
5. Click on the “**Events**” icon and then select “**Senior Investor Protection Conference.**”

CONFERENCE MAP

Hilton Washington DC National Mall Hotel



2019 FINRA Senior Investor Protection Conference

November 12, 2019

Washington, DC

- ▶ Agenda
- ▶ Wi-Fi Code Access
- ▶ Network: FINRAConference
Password: finra1939
- ▶ App Information
- ▶ Continuing Education (CE)
Credit Information
- ▶ Conference Map
- ▶ and more

FINRA®

WELCOME



At the Senior Investor Protection Conference, you will hear the most up-to-date regulatory information, effective strategies and solutions for protecting senior investors and vulnerable adults.



Featured Speaker: Paul Greenwood
Elder Abuse Expert Witness, Teacher and Consultant

Business Model Ribbon Key

Use the key to network with other Senior Investor Protection attendees with similar business models.

-  Retail
-  Retail—Independent Contractor
-  Institutional
-  Insurance Affiliate
-  Private Placement / M&A
-  Fixed Income
-  Clearing

AGENDA

Tuesday, November 12	
7:30 a.m. – 4:30 p.m.	Registration
7:30 a.m. – 8:30 a.m.	Networking Breakfast
8:30 a.m. – 8:35 a.m.	Welcome and Opening Remarks
8:35 a.m. – 9:20 a.m.	Keynote Address ▶ Paul Greenwood , Elder Abuse Expert Witness, Teacher and Consultant
9:20 a.m. – 9:30 a.m.	Networking Break
9:30 a.m. – 10:20 a.m.	Diminished Capacity and Suspected Elder Abuse
10:20 a.m. – 10:30 a.m.	Networking Break
10:30 a.m. – 11:20 a.m.	Working With Senior Investors
11:20 a.m. – 11:30 a.m.	Networking Break
11:30 a.m. – 12:00 p.m.	Fireside Chat ▶ Robert Cook , FINRA President and CEO ▶ Chip Jones , Senior Vice President of Member Relations and Education
12:00 p.m. – 1:15 p.m.	Networking Lunch and Dessert
1:15 p.m. – 2:05 p.m.	Suitability and Sales Practices Considerations for Senior Investors
2:05 p.m. – 2:15 p.m.	Networking Break
2:15 p.m. – 3:15 p.m.	Scams Targeting Older Investors
3:15 p.m. – 3:30 p.m.	Networking Break
3:30 p.m. – 4:30 p.m.	Legal and Regulatory Update
4:30 p.m.	Conference Adjourns

APP TIP SHEET

Registered attendees are granted access to the FINRA Senior Investor Protection Conference app. All materials—including session presentations, handouts and the event schedule—are all available through it.

App | Functionality

Within the app, you can navigate the event venue, complete the survey and network with other attendees.



Schedule: See the full event schedule and browse sessions and events by date and time. Select a specific session to access the session description, speaker information and associated materials; ask session-specific questions; participate in polling; and take notes.



Attendees: Search for a specific person or sort the entire attendee list by name or company. Email other attendees using the “Let’s Meet” or “Email” icons on the attendee’s details page.



MyConference: Mark sessions, attendees, etc., as favorites by tapping the “○” (iPhone) or “□” (Android) icon next to each name. From MyConference, you can view your personalized schedule, contacts and your notes. You can email these items to yourself by tapping the “Export” button in the upper right corner, then selecting the items to export, next tapping “Send” and then entering your email address.

Continuing Education (CE) Credits

Attendance to this conference qualifies for Certified Regulatory and Compliance Professional (CRCP)[®], and Certified Financial Planner (CFP) continuing education (CE) credits – plus a Continuing Legal Education (CLE) CE voucher. Stop by the App/CE desk in the L’Enfant Ballroom Foyer.