

FINRA's conference lineup covers a wide range of topics and provides an opportunity to hear the most up-to-date regulatory information and effective practices.

- **[2020 FINRA South Region Member Forum](#) – January 23, 2020 | Hollywood, FL**  
The FINRA South Region Member Forum is a one-day event designed to provide financial professionals associated with FINRA member firms in the South Region the opportunity to engage in key discussions with FINRA staff, and connect with industry leaders and peers. The forum also includes thoughtful discussions around the future landscape of the financial services industry, and provides opportunities to meet one-on-one with FINRA Regulatory Coordinators, and Surveillance and District Directors to discuss firm-specific questions.
- **[2020 FINRA North Region Member Forum](#) – February 12, 2020 | Boston, MA**  
The North Region Member Forum is a one-day event designed to provide financial professionals associated with FINRA member firms in the North Region the opportunity to engage in key discussions with FINRA staff, and connect with industry leaders and peers. The forum also includes thoughtful discussions around the future landscape of the financial services industry, and provides opportunities to meet one-on-one with FINRA Regulatory Coordinators, and Surveillance and District Directors to discuss firm-specific questions.
- **[2020 FINRA West Region Member Forum](#) – March 26, 2020 | San Francisco, CA**  
The West Region Member Forum is a one-day event designed to provide financial professionals associated with FINRA member firms in the West Region the opportunity to engage in key discussions with FINRA staff, and connect with industry leaders and peers. The forum also includes thoughtful discussions around the future landscape of the financial services industry, and provides opportunities to meet one-on-one with FINRA Risk Monitoring Analysts and Surveillance Directors to discuss firm-specific questions.
- **[2020 FINRA Annual Conference](#) – May 12-14, 2020 | Washington, DC**  
FINRA's premier event—the Annual Conference provides the opportunity for practitioners, peers and regulators to exchange ideas on today's most timely compliance and regulatory topics. The conference offers industry professionals a variety of sessions related to current trends in technology, cybersecurity, risk management and much more.
- **[2020 FINRA Small Firm Conference](#) – November 11-12, 2020 | Santa Monica, CA**  
The Small Firm Conference focuses on small firms' practices and tips for complying with FINRA rules. Throughout the event, attendees have the opportunity to discuss small firm issues with FINRA senior staff.

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