

2020 FINRA North Region Member Forum – Office Hours

FINRA North Region Member Forum

February 12, 2020
Boston, MA

Office Hours provide an opportunity for forum attendees to meet one-on-one with FINRA staff. FINRA employees will be available for 15-minute appointments to answer questions and discuss firm-specific questions and issues. Attendees can make an appointment via the forum app or onsite at the App/CE Desk.

Wednesday February 12, 2020	Office Hours – Plymouth Room		
10:00 a.m. – 11:00 a.m.	AnnMarie McGarrigle Risk Monitoring Director - Philadelphia Office FINRA Member Supervision Table 1	Jessica Greenberg Principal Analyst, Risk Monitoring - Boston Office FINRA Member Supervision Table 2	Cindy Miller Principal Analyst, Risk Monitoring - Boston Office FINRA Member Supervision Table 3
11:00 a.m. – 12:00 p.m.	Stephen (Steve) Poirier Risk Monitoring Director - Boston Office FINRA Member Supervision Table 1	George Malley Principal Analyst, Risk Monitoring - Boston Office FINRA Member Supervision Table 2	
2:00 p.m. – 3:00 p.m.	Kathryn Travers Risk Monitoring Director - Boston Office FINRA Member Supervision Table 1	Keith Plamondon Principal Analyst, Risk Monitoring - Boston Office FINRA Member Supervision Table 2	