

## 2020 FINRA South Region Member Forum – Office Hours

# FINRA South Region Member Forum

January 23, 2020  
Hollywood, Florida

Office Hours provide an opportunity for forum attendees to meet one-on-one with FINRA staff. FINRA employees will be available for 15-minute appointments to answer questions and discuss firm-specific questions and issues. Attendees can make an appointment via the forum app or onsite at the App/CE Desk.

Thursday, January 23, 2020	Office Hours – Great Hall II		
9:00 a.m. – 10:00 a.m.	Edward (Ed) Rumph Risk Monitoring Analyst, Boca Raton Office FINRA Member Supervision <b>Table 1</b>	Laura Pagnanelli Risk Monitoring Analyst, Boca Raton Office FINRA Member Supervision <b>Table 2</b>	Lisa Reid Risk Monitoring Analyst, Boca Raton Office FINRA Member Supervision <b>Table 3</b>
10:00 a.m. – 11:00 a.m.	Michael (Mike) Yuan Surveillance Director, Dallas Office FINRA Member Supervision <b>Table 1</b>	Angela Brunelle Risk Monitoring Analyst, Boca Raton Office FINRA Member Supervision <b>Table 2</b>	
11:00 a.m. – 12:00 p.m.	Clinton (Clint) Johnson Surveillance Director, Atlanta Office FINRA Member Supervision <b>Table 1</b>	Mayte Lujan Risk Monitoring Analyst, Boca Raton Office FINRA Member Supervision <b>Table 2</b>	
2:00 p.m. – 3:00 p.m.	Clifford (Cliff) Wexler Risk Monitoring Analyst, Boca Raton Office FINRA Member Supervision <b>Table 1</b>	Sharon Frankel Risk Monitoring Analyst, Boca Raton Office FINRA Member Supervision <b>Table 2</b>	