

Welcome and Opening Remarks

Thursday, January 23, 2020

9:00 a.m. – 9:05 a.m.

Speaker: Chip Jones
Senior Vice President
FINRA Member Relations and Education

Speaker Biography:

Chip Jones is Senior Vice President of Member Relations and Education for FINRA. In leading the Member Relations and Education Department, Mr. Jones' responsibilities include maintaining and enhancing open and effective dialog with FINRA member firms. Mr. Jones also oversees FINRA's Member Education area, which includes FINRA conferences and other member firm educational offerings such as the FINRA Institute at Georgetown for the Certified Regulatory and Compliance Professional (CRCP)[®] designation. Prior to joining FINRA, Mr. Jones spent six years as Vice President of Regulatory and Industry Affairs at American Express Financial Advisors (AEFA). Previous to AEFA, he spent two years as Advocacy Administrator for the Association for Investment Management and Research (AIMR). Mr. Jones was employed by the Virginia Securities Division as a senior examiner/investigator prior to joining AIMR.

South Region Member Forum

January 23, 2020 | Hollywood, FL

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Speaker

- Speaker
 - Chip Jones, Senior Vice President, FINRA Member Relations and Education