



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #01-20) 01/15/2020
GUE Liquidation Companies, Inc. (fka FTD Companies, Inc.) (FTDCQ)

Notice has been received that the above Company's First Amended Joint Plan of Liquidation became effective on 12/30/2019. Pursuant to the plan, On the Effective Date, the Interests will be cancelled, and Holders of Class 5 Interests will not receive any distribution pursuant to this Plan. Please consult the company's bankruptcy filings for thorough details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: GUE Liquidation Companies, Inc., et al.* Case No. 19-11240 (LSS) (Jointly Administered) First Amended Joint Plan of Liquidation for the Debtors