

## Welcome and Opening Remarks

Wednesday, February 12, 2020

9:00 a.m. – 9:05 a.m.

**Speaker:** Elizabeth Page  
Vice President and Single Point of Accountability for Retail Firms – Boston Office  
FINRA Member Supervision

### Speaker Biography:

**Elizabeth Page** is Vice President and Single Point of Accountability for Retail Firms that are Independent Contractors. In this role, Ms. Page's responsibilities include overseeing the risk monitoring teams responsible for the ongoing risk monitoring and risk assessment of independent contractor firms and coordinating with the Examination Program Management on the planning and execution of examinations. Prior to this new role, Ms. Page was the Director of FINRA's Boston office and worked in FINRA's Chicago office in a variety of staff and management roles in the membership, surveillance, firm exam and investigations programs. Ms. Page has a Bachelor degree in Finance and a Master of Business Administration degree from the University of Denver.

# North Region Member Forum

February 12, 2020 | Boston, MA

## Welcome and Opening Remarks

# Speaker

## ○ Speaker

- Elizabeth Page, Vice President and Single Point of Accountability for Retail Firms – Boston Office, FINRA Member Supervision