



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #03-20) 02/03/2020
Southcross Energy Partners, L.P. (SXEEQ)

Notice has been received that the above Company's First Amended Chapter 11 Plan became effective on 1/31/2020. Pursuant to the plan, On the Effective Date, Existing Interests shall be discharged, cancelled, released and extinguished, and holders thereof shall not receive or retain any distribution under the Plan on account of such Existing Interests.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: Southcross Energy Partners, LP., et al.*, Case No. 19-10702 (MFW) (Jointly Administered) First Amended Chapter 11 Plan for Southcross Energy Partners, L.P. and its Affiliated Debtors.