



UPDATE: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #12-20) 4/10/2020
Zoom Technologies, Inc. (ZOOM) TRADING HALT & SYMBOL CHANGE TO (ZTNO)

On Thursday, April 9, 2020, the Financial Industry Regulatory Authority, Inc. (“FINRA”) halted quoting and trading in the common stock of Zoom Technologies, Inc. (OTC: ZOOM) pursuant to Rule 6440(a)(3). The halt began at 8:01:20 a.m. E.T. See [UPC #10-20](#). FINRA imposed the temporary halt because FINRA believed that trading in ZOOM demonstrated a widespread misunderstanding between the Nasdaq-listed stock of Zoom Video Communications, Inc. (Nasdaq: ZM) and the over-the-counter stock of Zoom Technologies, Inc. (OTC: ZOOM).

For the avoidance of further confusion, FINRA has determined to effect a symbol change for the common stock of Zoom Technologies, Inc. Members hereby are advised that the symbol for the common stock of Zoom Technologies, Inc. will be changed from ZOOM to ZTNO and that quoting and trading in Zoom Technologies, Inc. will resume under the new symbol, ZTNO, effective Tuesday, April 14, 2020 at 8:00:00 a.m. E.T.

Questions regarding this notice can be directed to: FINRA Operations at (866) 776-0800.