

**FINANCIAL INDUSTRY REGULATORY AUTHORITY  
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

CRD No.

Respondent.

Expedited Proceeding  
No.

STAR No.

Hearing Officer—

**AFFIDAVIT OF**

I swear that the testimony I will give in this proceeding will be truthful.

Respectfully submitted,

\_\_\_\_\_  
Print Affiant's Name:

Executed on:

Sworn to and subscribed before me

this \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

\_\_\_\_\_  
Notary Public

My commission expires: \_\_\_\_\_, 20\_\_.