

**FINANCIAL INDUSTRY REGULATORY AUTHORITY  
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

\_\_\_\_\_  
(CRD No. \_\_\_\_\_ ),

Respondent.

Expedited Proceeding  
No. \_\_\_\_\_

STAR No. \_\_\_\_\_

Hearing Officer-\_\_\_\_\_