

CERTIFICATE OF SERVICE

I hereby certify that on _____ a true and correct copy of Respondent's
_____ was filed and sent by email and regular mail to:

FINRA - Office of Hearing Officers
1735 K Street, N.W., 2nd Floor
Washington, D.C., 20006
Tel: (202) 728-8008
Email: OHOCasFilings@finra.org

And

FINRA Department of Enforcement
[Note: Send to the Enforcement attorney listed in the Service List]

Respectfully submitted,

Respondent _____
Respondent's Address _____
Telephone number _____
Email _____