



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #24-20) 07/10/2020
Gemstone Solutions Holding Corporation (f/k/a Gymboree Holding Corporation) (GMBEQ)

Notice has been received that the above Company's Joint Chapter 11 Plan or Reorganization became effective on 6/26/2020. Pursuant to the plan, On the Effective Date, all Interests shall be cancelled, as determined by the Debtors with the consent of the plan sponsor. Furthermore, Holders of General Unsecured Claims (CUSIP 403777AB1) shall neither receive nor retain any property hereunder on account of such claims.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: Gemstone Solutions Group Inc., et al.*, Chapter 11 Case No. 19-30258 (KLP) (Jointly Administered) Joint Chapter 11 Plan or Reorganization