



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #29-20) 8/11/2020
Foresight Energy LP (FELPQ, FEGYW)

Notice has been received that the above Company's Second Amended Joint Chapter 11 Plan of Reorganization became effective on 6/30/2020. Pursuant to the plan, On the Effective Date, all Interests in GP LLC and all Interests in FELP shall be cancelled and discharged and shall be of no further force and effect, whether surrendered for cancellation or otherwise, and each Holder of such Interests shall not be entitled to receive any distribution under the Plan on account of such Interests.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: Foresight Energy LP, et al.*, Debtor. Chapter 11 Case No. 20-41308 (Jointly Administered) Second Amended Joint Chapter 11 Plan of Reorganization of Foresight Energy LP and its Affiliated Debtors.