

**District 6 – South Region Candidate**


- Ann Sebert – Chief Executive Officer & President, Capital Institutional Services, Inc.

## Candidate Profile Form—Regional Committee Election

Candidate Name: Ann Sebert

---

Title: CEO & President

Firm: Capital Institutional Services, Inc. 

---

### Candidate Biography and Personal Statement

Ann Sebert is Chief Executive Officer and President of Capital Institutional Services, Inc. (CAPIS). Serving institutional investors since 1977, CAPIS is a women-owned agency broker that offers global execution services and commission management solutions.

Sebert rose through the ranks of CAPIS through 18 years of hard work and strong leadership. She joined CAPIS as the executive assistant to the CFO. In 2007 when the controller position became available, she assumed oversight of financial reporting for the firm. She was named Chief Financial Officer in 2017. In 2020, Ann was named Chief Executive Officer and took leadership over the firm.

Earlier in her career, she was in the Marketing Department of Arco Gas and Oil where she was responsible for the scheduling of crude oil movements & management of daily customer relations.

In November of 2018 she was named CFO of the Year by MarketsMedia Group.

Sebert holds a degree in Business Administration and Management with a concentration in Accounting from the Cox School of Business at Southern Methodist University.

She believes FINRA would benefit from her unique background and experiences which have given her a combination of skills that differentiate her from the normal candidate. As the CEO of a small regional firm, Sebert is very attuned to the issues facing the industry and would be pleased to work on developing solutions.

### Optional Links:

Link to personal website, resume or CV:

---

Link to YouTube video:

---