

## Phishing Email Purporting to Be From FINRA

### FINRA Alerts Firms to Phishing Email Requesting Them to Respond to Fraudulent FINRA Survey

#### Summary

FINRA warns member firms of a widespread, ongoing phishing campaign that involves fraudulent emails purporting to be from FINRA asking member firms to complete a survey (*see sample below*). The email was sent from the domain “@regulation-finra.org” and was preceded by “info” followed by a number, *e.g.*, info5@regulation-finra.org. FINRA recommends that anyone who clicked on any link or image in the email immediately notify the appropriate individuals in their firm of the incident.

The domain of “regulation-finra.org” is not connected to FINRA and firms should delete all emails originating from this domain name.

FINRA has requested that the Internet domain registrar suspend services for “regulation-finra.org”.

FINRA reminds firms to verify the legitimacy of any suspicious email prior to responding to it, opening any attachments or clicking on any embedded links.

For more information, firms should review the resources provided on FINRA’s [Cybersecurity Topic Page](#), including the Phishing section of our [Report on Cybersecurity Practices -2018](#).

Questions regarding this *Notice* should be directed to Dave Kelley, Director, Member Supervision Specialist Programs, at (816) 802-4729 or by [email](#).

October 6, 2020

#### Notice Type

- ▶ Special Alert

#### Suggested Routing

- ▶ Legal
- ▶ Legal and Compliance
- ▶ Risk Management
- ▶ Senior Management

#### Key Topics

- ▶ Cybersecurity
- ▶ Fake FINRA Domain Name
- ▶ Fraud

## Attachment A – Sample Phishing Email

*Recipient's Name,*

FINRA has been directed to update its conduct and supervisory rules on firms that we regulate.

We require you to complete the above survey form in full by Tuesday 13 October 2020.

This survey is designed so that it is quick and simple to complete. Please ensure that you complete the questions where the relevant information is available.

FINRA is committed to protecting the integrity and confidentiality of the data and systems. If you have any questions when completing the survey, please reply to this email for immediate assistance.

ATTN: *Firm Name*

Thank you,

FINRA Regulation Department



Firms have reported that there is a picture or image under "FINRA Regulation Department."