

## Attachment A – Sample Phishing Email

*Recipient's Name,*

FINRA has been directed to update its conduct and supervisory rules on firms that we regulate.

We require you to complete the above survey form in full by Tuesday 13 October 2020.

This survey is designed so that it is quick and simple to complete. Please ensure that you complete the questions where the relevant information is available.

FINRA is committed to protecting the integrity and confidentiality of the data and systems. If you have any questions when completing the survey, please reply to this email for immediate assistance.

ATTN: *Firm Name*

Thank you,

FINRA Regulation Department



Firms have reported that there is a picture or image under "FINRA Regulation Department."