



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #47-20) 11/23/2020 FTS International, Inc.

Notice has been received that the above Company's Joint Prepackaged Chapter 11 Plan of Reorganization became effective on 11/19/2020. Pursuant to the plan, Except to the extent that a Holder of an Allowed Secured Debt Claim agrees to less favorable treatment, on the Effective Date, in full and final satisfaction, compromise, settlement, release, and discharge of and in exchange for each Allowed Secured Debt Claim, each Holder thereof shall receive its Pro Rata share of and interest in (i) the Cash Consideration and (ii) 90.1% of the New FTS Equity, subject to dilution on account of the Management Incentive Plan and the Warrants, minus the Class 4 Recovery Deduction. See the Company's Joint Prepackaged Chapter 11 Plan of Reorganization for further details.¹

Security Description	CUSIP	New Common Stock per \$1000 PA	Cash per \$1000 PA
6.25% Sr Secured Note due 5/1/2022	30283WAB0	28.8366104	70.32741522
6.25% Sr Secured Note due 5/1/2022	30283WAA2	28.8366104	70.32741522

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: FTS International, Inc. et al.*, Debtor. Chapter 11 Case No. 20-34622 (DJR) (Jointly Administered) Joint Prepackaged Chapter 11 Plan of Reorganization of FTS International, Inc. and its Debtor Affiliates.

