



Testing Accommodations Eligibility Questionnaire

This form must be submitted for all requests to support or provide accommodations during a FINRA-administered exam. Approval must be received from FINRA by the candidate prior to scheduling an exam appointment. See the [Exam Candidates Requiring Testing Accommodations](#) page for more information.

I. Candidate Information

Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Telephone Number: _____ Email Address: _____

Candidate's FINRA ID# _____ Last 4 of SSN: XXX-XX- ____
(T ID #, U# or CRD #)

Exam Series #: _____

II. Accommodations History With FINRA

Has it been more than five years since the candidate received accommodations approval from FINRA?
Yes No

Is the candidate requesting new, additional or increased accommodations? **Yes No**

*If you answered "yes" to either of the above questions, the **Testing Accommodations Verification Form** and supporting documentation are also required to make a decision about this request. See the [Exam Candidates Requiring Testing Accommodations](#) page for more information.*

III. Exam Testing Options

How is the candidate intending to test? **Testing Center Online**

Please be advised that some accommodations are only available at a testing center.

Will the candidate be testing with a medical device?

Yes

No

If yes, please provide details: _____

IV. Specific Accommodation(s) Requested

^ Paper & Pencil (Non-Computerized) Exam

^ Human Reader/Human Recorder

The accommodations above are only available for delivery at a testing center. Upon contacting the testing vendor, please be advised that they require 10 business days to prepare for appointments scheduled with these accommodations.

For paper & pencil exams, please allow five business days after testing for a paper exam to be scored and posted to CRD or TESS.

** Extra Time _____ Minutes

If extra time is selected, the specific amount of extra time requested is required. Please see the attachment for the standard examination lengths.

Other: Please Specify: _____

V. Firm Information

Is the candidate sponsored by a firm to take this exam?

Yes

No

If yes, can FINRA discuss the candidate's disability and/or accommodations with the firm?

Yes

No

If the answer to both of the above questions is yes, the fields below are required in order to process this request. If no, leave this section blank.

Firm Name: _____ BD#: _____

Registration/Compliance Officer Name: _____

Contact Email Address: _____ Telephone #: _____

Firm Signature: _____ Date: _____

Note: All requests take approximately 4-5 business days to process after which a confirmation email will be sent with the offered accommodations. **Incomplete or insufficient forms will result in additional delays.**

All forms and supporting documentation may be faxed to FINRA at (202) 303-3901 or emailed to TARquest@finra.org

If mailed, forms and documentation should be sent to the following address:

FINRA - Attention: Candidate Services
9509 Key West Avenue, 3rd Floor
Rockville, MD 20850

Personal Confidential Information

Exam Lengths

Series	Exam	Minutes
SIE	Securities Industry Essentials	105
3	National Commodity Futures	150
4	Registered Options Principal Exam	195
6	Investment Company Products and Variable Contracts Representative Exam	90
7	General Securities Representative Exam	225
9	General Securities Sales Supervisor - Options	90
10	General Securities Sales Supervisor - General	240
14	Compliance Official Exam	180
22	Direct Participation Programs Representative Exam	90
23	General Securities Principal Exam - Sales Supervisor	150
24	General Securities Principal Exam	225
26	Investment Company and Variable Contracts Products Principal Exam	165
27	Financial and Operations Principal Exam	225
28	Introducing Broker/Dealer Financial and Operations Principal Exam	120
30	NFA Branch Manager Examination	60
31	Futures Managed Funds Examination	60
32	Limited Futures Exam-Regulations	45
34	Retail Off-Exchange Forex Examination	60
39	Direct Participation Programs Principal Exam	135
50	Municipal Advisor Representative Examination	180
51	Municipal Fund Securities Limited Principal	90
52	Municipal Securities Representative	150
53	Municipal Securities Principal	180
54	Municipal Advisor Principal	180
57	Securities Trader Representative Exam	105
63	Uniform Securities Agent State Law Exam	75
65	NASAA-Investment Advisors Law Exam	180
66	NASAA-Uniform Combined State Law Exam	150
79	Investment Banking Representative Exam	150
82	Private Securities Offerings Representative Exam	90
86	Research Analyst Exam - Part I - Analysis	270
87	Research Analyst Exam - Part II - Regulatory	105
99	Operations Professional Exam	90
161	Supervisory Analyst Exam Part 1	90
162	Supervisory Analyst Exam Part 2	120