ATTACHMENT B TO HISTORIC DATA AGREEMENT

Please submit the completed form to:

FINRA - TRACE Data Services Telephone: 888-507-3665 E-Mail: TRACEUsage@finra.org

1. **FIRM INFORMATION**

Name of Your Firm ("Vendor"):			
Is Your Firm a Qualified Tax-Exem	npt Organization?		
Yes No			
SYour Firm a FINRA member firm?			
Yes No			
If Yes, provide CRD #:			
Firm Address :			
Street Address:			
City:	State/Province:		
Postal Code:	Country:		

Street Address:	
City:	State/Province:
Postal Code:	Country:

(f) **Primary Contact:**

First Name:	
Last Name:	
Phone Number:	
E-Mail Address:	

(g)	Billing Contact:	
	First Name:	
	Last Name:	
	Phone Number:	
	E-Mail Address:	

2. DATA USE

(a) INTERNAL USE

(1) Check all that apply

Application Display	
Internal System, Non-Display Use	
Derivative Products (for example indices)	
Other (specify)	

(2) Describe how you intend to use the data:

(b) EXTERNAL USE

(1) PROFESSIONAL REDISTRIBUTION (IF APPLICABLE)

(A) Redistribution / Delivery Methods: Check all that apply

Application Display	
Internal System, Non-Display Use	
Derivative Products (for example indices)	
Other (specify)	

(B) Name of the product(s)/service(s) your firm will provide to your Clients which incorporates, is related to or which is based upon the Enhanced Historic Information and Data:

(C) Describe your product(s)/service(s):

Vendor certifies that the information provided on this Attachment B is true and accurate.

VENDOR:

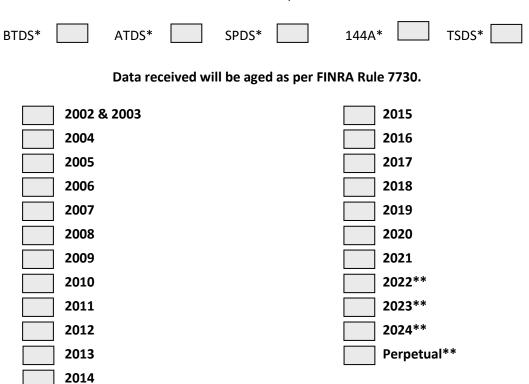
Signature of authorized representative of Vendor

Name

Title

Date

Attachment C



Please select the data sets that you wish to subscribe to:

* As per FINRA Rule 7730:

For BTDS and Corporate 144A, 2002 and 2003 Calendar Years are packaged together. For ATDS, 2010 and 2011 Calendar Years are packaged together. For SPDS, 2011 and 2012 Calendar Years are packaged together.

- the second of the Demotivel subscription are calented the date will
- ** If future years or the Perpetual subscription are selected, the data will be provided in quarterly increments as it becomes available and Vendor will be billed at the beginning of each calendar year for the available calendar year's data. The Perpetual subscription will continue until the Vendor submits written notification of cancellation.