



(Refer to UPC# 38-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #41-2021) June 29, 2021

WHEN, AS AND IF ISSUED SETTLEMENT DATES _____

ISSUE

Settlement Date

Issuer Name: CMS Energy Corp (CMSEP)

July 01, 2021

Class of Security: Depository Shares Representing 1/1000th Interest Share of Cumulative Redeemable Perp Series C Preferred Stock

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.