

## **Profile of the Large Firm Governor Candidate**

### **Timothy C. Scheve**

#### **President and Chief Executive Officer, Janney Montgomery Scott LLC**

Timothy C. Scheve is President and Chief Executive Officer (CEO) of Janney Montgomery Scott LLC. Janney is a full-service financial services firm, providing comprehensive financial advice to individual, corporate and institutional clients.

Mr. Scheve became the President and CEO in August 2007 and has over 30 years of experience in the securities industry. Since his arrival at Janney, Mr. Scheve has assembled a leadership team that has a tremendous ability to adapt to rapidly evolving client needs and economic changes. These top professionals lead two core businesses—the Private Client Group and Capital Markets—and ensure efficient operations and administration.

Before becoming the President and CEO of Janney, Mr. Scheve served in a variety of leadership roles at Legg Mason. He was appointed Executive Vice President of Legg Mason in 1998 and Senior Executive Vice President in 2000. In 2003, he was named President and CEO of Legg Mason's broker-dealer, the former Legg Mason Wood Walker, Inc.

Mr. Scheve earned a B.A., summa cum laude, from Catholic University and an A.M. in economics from Brown University. He was elected to Phi Beta Kappa.

Mr. Scheve has always used his expertise to support both the financial services industry and his community. His professional affiliations include formerly serving on the Board of Directors of Ben Franklin Technology Partners of Southeastern Pennsylvania and the Board of Directors of the Securities Industry and Financial Markets Association. Past affiliations include serving as a trustee for The Catholic University of America, member of the Board of Directors of the Kimmel Center, member of the Board of Directors of The Pennsylvania Trust Company, Chair of the National Aquarium in Baltimore, President of the Maryland Committee for Children, Vice Chair of the ICI Mutual Insurance Company, and trustee for the House of Ruth and the Baltimore Community Foundation.

## **Profile of the Mid-Size Firm Governor Candidate**

**James T. Crowley**  
**Chief Executive Officer, Pershing Advisor Solutions LLC**

James T. Crowley is the CEO for BNY Mellon | Pershing and for Pershing Advisor Solutions LLC. Pershing provides business-to-business solutions to over 1,300 of the world's most sophisticated financial services firms. Mr. Crowley is the chair of Pershing's Executive Committee and a member of BNY Mellon's Executive Committee. He leads a team of experienced professionals dedicated to helping firms operate their businesses more efficiently so they may serve their clients more effectively, while delivering a noticeably superior service experience.

Prior to becoming CEO in July 2019, he served as the firm's Chief Operating Officer (COO), ensuring that Pershing had a sustainable and resilient business strategy, attracted and developed the best talent, and had the proper controls and systems in place to support its business.

In the past, Mr. Crowley served as Pershing's chief relationship officer, working side-by-side with firms to help them develop their strategic vision and execute their growth initiatives.

He is a frequent speaker at client events and major industry conferences on business trends, strategy and transformation, and is often quoted in the business press for his broad range of industry insights and experience.

Mr. Crowley earned a B.S. degree in Management from Florida State University. He is on the Board of Directors of the Financial Services Institute (FSI) and completed the Securities Industry Institute (SII) program, sponsored by the Securities Industry and Financial Markets Association (SIFMA), at the Wharton School of the University of Pennsylvania. He served on the SII Board of Trustees, where he held the role of chairman from 2007-2009.

## **Profiles of the Small Firm Governor Candidates**

- Daniel P. Logue  
Counsel and Chief Compliance Officer, Muriel Siebert & Co., Inc.
- Paige W. Pierce  
President and Chief Executive Officer, Bley Investment Group, Inc.

### **Daniel P. Logue Counsel and Chief Compliance Officer, Muriel Siebert & Co., Inc.**

Throughout his career, Dan Logue has consistently worked to achieve practical solutions to business matters by emphasizing the importance of open communications and building team consensus.

Mr. Logue is Counsel and Chief Compliance Officer for Muriel Siebert & Co., Inc., the first broker-dealer to be founded and led by a woman. In his current role, he is focused on strengthening the firm's culture of compliance by leading open dialogue on all issues in order to bolster the firm's regulatory foundations.

His financial services tenure began when he was recruited into an operations training program by Merrill Lynch. From there, he quickly became part of the McKinsey led review of the firm's operating divisions. Mr. Logue then earned a seat on the NYSE where he served for years as a trading floor official. He was also appointed by the NYSE Board to serve as an adjudicatory panel member.

Mr. Logue has productively worked with business units of all dimensions to achieve regulatory success. He has additionally acted as a consultant to small firms, providing compliance and legal expertise. He tailors best practice initiatives to maintain gains achieved through policy and protocol improvements.

At Siebert, he is tasked with balancing the countless responsibilities that many members of small firms encounter: leader, mentor, advisor to senior management, researcher, and problem solver. His skills were honed over a career that includes consulting, in-house employment, and participation with industry organizations.

During his career he has chaired and been a member of many committees at the firms where he has served. Currently he is chair of the Siebert Investment and Compliance Committees. Additionally, he sits on the Siebert Operating, Risk, Securities Lending, Clearing and Best Execution Committees. Mr. Logue has also donated time and expertise to numerous charitable causes.

Mr. Logue received his B.A. degree in Political Science from Union College, and a J.D. degree from the evening division at New York Law School. He is admitted to the bar in New York and New Jersey. In addition, he earned the CRCP designation from the FINRA Institute at Wharton in 2009.

**Paige W. Pierce**  
**President and Chief Executive Officer, Bley Investment Group, Inc.**

Paige W. Pierce is an experienced CEO and board member who brings over 25 years of investment industry experience with small firms and major corporate entities in the North American capital markets to her role as President & CEO of Bley Investment Group, Inc. She is an experienced, innovative and visionary hands-on strategic planner. She has extensive trading and sales, compliance, operational, financial control, business and product development, as well as strategic partner development experience both domestically and internationally. In her current position, she oversees the growth and strategy of the firm, works closely with industry regulatory agencies, and actively focuses on ensuring Bley Investment Group leads, manages, and operates at the highest level of excellence for clients and their best interests.

An early career in fixed income trading and sales, banking and finance formed the foundation of Ms. Pierce's lead-from-the-front style. Bringing a comprehensive understanding of global financial markets, the modern-day regulatory and legislative environment, and corporate leadership, she sees what others don't. By connecting dots in new ways, she builds strategies that address today's needs while helping lay the groundwork for the future.

Working with Senator Tom Cotton (R-AR) and Representative French Hill (R-AR), along with the Democrat co-Sponsors of the bills, Ms. Pierce is leading the multi-year industry effort on Capitol Hill and with the SEC/PCAOB to obtain much-needed legislative and regulatory relief for struggling Main Street brokerage firms, including diversity-owned small businesses.

As a passionate and effective advocate for Main Street businesses and their customers, right-sized regulation for small and independent investment firms, investor protection, and diversity and inclusion in the financial services industry, Ms. Pierce has dedicated herself to consistently speaking truth to power on behalf of the small firm community. To that end, she has been Chair of the FINRA Small Firm Advisory Committee, SIFMA Membership Committee, FINRA District 3 Committee, SIFMA Municipal Broker's Broker Committee, served on the FINRA Fixed Income Committee for six years, and was twice elected to the FINRA National Adjudicatory Council.

Passionate about professional development for herself, her colleagues, and her team, Ms. Pierce is a longtime member and leader within the Young Presidents Organization (YPO) and an active member of multiple charitable organizations. She is also an Honorary Commander and Falcon in the USAF (388th FW/Hill Air Force Base), supporting the incredible airmen/women who serve our great country.