



(Refer to UPC# 44-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #50-2021) July 02, 2021

WHEN, AS AND IF ISSUED SETTLEMENT DATES _____

ISSUE

Settlement Date

Issuer Name: New York Mortgage Trust Inc (NYMFP)

July 07, 2021

Class of Security: Redeemable Preferred Stock

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.