

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
Classic CRD		
The 'Individual Search' link will redirect users to the Profile Search in FINRA Gateway and search results will display the individual's FINRA Gateway profile. This includes Pre-Registration searches.	View Individual	Firms
The links for 'Organization Search' and 'Branch Search' will redirect users to view firm and branch profiles in FINRA Gateway.	View Organization & Branch	Firms
The Classic U5 filing process will be retired. All U5 filings must now be created and submitted via FINRA Gateway. Existing Classic U5 drafts will be available as read-only until on or about September 25, 2021.	Form U5	Firms
The links for 'View Activities' will be converted to buttons labeled 'View Requests & Filings in FINRA Gateway'.	Queues	Firms
<p>The following Classic CRD reports will be retired, and users will be redirected to the corresponding report templates in FINRA Gateway:</p> <ul style="list-style-type: none"> • Branch - Branch Roster • Branch - Supervising OSJ Branches • CE - Approaching CE Queue Report and Download • CE - CE Download • CE - Current Inactive CE Individuals Within a Firm • CE - Two Year Termed Download • Individual - Active Military Duty Download • Individual - CRD Filings in lieu of Rule 4530 Application Filing Details Report • Individual - CRD Filings in lieu of Rule 4530 Application Filing Download • Individual - Other Business Details Download • Individual - Recent Hires by Firm • Individual - Taping Rule Monitoring Report 	Reports	Firms
Effective September 7, 2021, the system will return to the default RA registration requirements for New York IAR registration requests.	Qualifications	Firms
Effective September 11, 2021, NYSE ARCA will recognize the 'Compliance Official (CO)' registration category.	Forms U4 & U5	SROs, Firms