

Attachment A

[Broker Name] – Held OTC Equity Security Routing Public Report

Generated on [Date] [Time]

[Quarter] [Year]

[Month 1] [Year]

Domestic OTC Equity Securities**Summary**

Non-Directed Orders as % of All Orders
[XX.XX%]

Venues

Venue – Non-directed Order Flow	Non-Directed Orders (%)	Net Payment Paid/Received (USD)	Net Payment Paid/Received (cents per order)
[Venue 1]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 2]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 3]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 4]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 5]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 6]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 7]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 8]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 9]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 10]	[XX.XX%]	[XXXX]	[X.XXXX]

Material Aspects:

[Venue 1]:

[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

[Venue 2]:

[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

[Venue 3]:

[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

[Venue 4]:

[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

[Venue 5]:

[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

[Venue 6]:

[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

[Venue 7]:

[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

[Venue 8]:

[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

[Venue 9]:

[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

[Venue 10]:

[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

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[Month 1] [Year]

American Depository Receipts (ADRs) and Foreign Ordinaries that are OTC Equity Securities

Summary

Non-Directed Orders as % of All Orders
[XX.XX%]

Venues

Venue – Non-directed Order Flow	Non-Directed Orders (%)	Net Payment Paid/Received (USD)	Net Payment Paid/Received (cents per order)
[Venue 1]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 2]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 3]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 4]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 5]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 6]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 7]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 8]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 9]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 10]	[XX.XX%]	[XXXX]	[X.XXXX]

Material Aspects:

[Venue 1]:

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[Venue 9]:
[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

[Venue 10]:
[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

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[Month 1] [Year]

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Canadian-listed Securities Trading in the United States as OTC Equity Securities

Summary

Non-Directed Orders as % of All Orders
[XX.XX%]

Venues

Venue – Non-directed Order Flow	Non-Directed Orders (%)	Net Payment Paid/Received (USD)	Net Payment Paid/Received (cents per order)
[Venue 1]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 2]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 3]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 4]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 5]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 6]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 7]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 8]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 9]	[XX.XX%]	[XXXX]	[X.XXXX]
[Venue 10]	[XX.XX%]	[XXXX]	[X.XXXX]

Material Aspects:

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[Venue 10]:
[Insert discussion of the material aspects of the relationship with this venue pursuant to prong (iv).]

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[Same disclosures as above for Month 2]

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[Same disclosures as above for Month 3]

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