



**(Refer to UPC# 118-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #121-2021) November 17, 2021**

WHEN, AS AND IF ISSUED SETTLEMENT DATES \_\_\_\_\_

**ISSUE**

**Settlement Date**

**Issuer Name:** Rivernorth/Doubleline Strategic Opportunity Fd Inc (OPPBP)      11/22/2021

**Class of Security:** Preferred Series B Stock

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.