

Sunday, July 17	TIMES	Monday, July 18	Tuesday, July 19	Wednesday, July 20	Thursday, July 21	Friday, July 22
	7:30 a.m. – 8:50 a.m.	Breakfast	Breakfast	Breakfast	Breakfast	Breakfast
<p><b>ACCOMMODATIONS:</b>                      Le Méridien Arlington                      1121 19th St N.                      Arlington, VA 22209                      703-351-9170</p> <p><b>MEALS:</b>                      Faculty Club Restaurant</p> <p><b>CLASSROOM:</b>                      McDonough School of Business                      Rafik B. Hariri Building                      4th Floor                      Room 450                      37th and O Streets                      Washington, DC 20057</p> <p><b>ACADEMIC DIRECTOR:</b>                      Professor James Angel</p> <p><b>PROGRAM MANAGER:</b>                      Hoang Le</p> <hr/> <p>This schedule is subject to change.</p>	8:50 a.m. – 9:00 a.m.	<p><b>Program Mission:</b></p> <ul style="list-style-type: none"> <li>Susanne Goldsmith</li> </ul> <p><b>Program Logistics:</b></p> <ul style="list-style-type: none"> <li>Hoang Le</li> </ul> <p><b>Program Introduction:</b></p> <ul style="list-style-type: none"> <li>Professor James Angel</li> </ul>	<p><b>Group Exercise Overview</b></p> <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<p><b>Group Exercise Overview</b></p> <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<p><b>Group Exercise Overview</b></p> <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<p><b>Group Exercise Overview</b></p> <ul style="list-style-type: none"> <li>James Angel</li> </ul>
	9:00 a.m. – 10:30 a.m.	<p><b>SESSION 1:</b>                      Fundamentals of Financial Regulation</p> <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<p><b>SESSION 5:</b>                      Exempt Offerings and Resales I</p> <ul style="list-style-type: none"> <li>Lisa Fairfax</li> </ul>	<p><b>SESSION 9:</b>                      Broker-Dealer Regulation: Reg BI and Form CRS</p> <ul style="list-style-type: none"> <li>James Fanto</li> <li>James Wrona</li> </ul>	<p><b>SESSION 13:</b>                      Communications with the Public: Advertising and Social Media</p> <ul style="list-style-type: none"> <li>James Fanto</li> <li>Amy Sochard</li> </ul>	<p><b>SESSION 17:</b>                      FinTech 101</p> <ul style="list-style-type: none"> <li>John Jacobs</li> </ul>
	10:30 a.m. – 10:45 a.m.	Break	Break	Break	Break	Break
	10:45 a.m. – 12:15 p.m.	<p><b>SESSION 2:</b>                      Securities Exchange Act I</p> <ul style="list-style-type: none"> <li>Donald Langevoort</li> </ul>	<p><b>SESSION 6:</b>                      Exempt Offerings and Resales II</p> <ul style="list-style-type: none"> <li>Lisa Fairfax</li> </ul>	<p><b>SESSION 10:</b>                      Broker-Dealer Regulation: Books, Records, Reports and Sales Practice Compliance</p> <ul style="list-style-type: none"> <li>James Fanto</li> <li>Tina Tambiah</li> </ul>	<p><b>SESSION 14:</b>                      Broker-Dealer Regulation: Supervision</p> <ul style="list-style-type: none"> <li>James Fanto</li> <li>Kosha Dalal</li> </ul>	<p><b>SESSION 18:</b>                      Pro-active Management: Emerging Trends in Financial Markets and Regulation</p> <ul style="list-style-type: none"> <li>James Angel</li> </ul>
	12:15 p.m. – 1:30 p.m.	Lunch/Group Photo	Lunch	Lunch	Lunch	Lunch
	1:30 p.m. – 3:00 p.m.	<p><b>SESSION 3:</b>                      Securities Exchange Act II</p> <ul style="list-style-type: none"> <li>Donald Langevoort</li> </ul>	<p><b>SESSION 7:</b>                      Public Offerings and Civil Liabilities I</p> <ul style="list-style-type: none"> <li>David Wishnick</li> </ul>	<p><b>SESSION 11:</b>                      Broker-Dealer Regulation: Financial Responsibility and Managing Risks (Net Capital)</p> <ul style="list-style-type: none"> <li>James Fanto</li> <li>Bonnie Gauch</li> </ul>	<p><b>SESSION 15:</b>                      Broker-Dealer Regulation: Best Execution in Equities and Fixed Income</p> <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<p><b>ASSESSMENT</b>                      1:00 p.m. – 3:30 p.m.</p>
	3:00 p.m. – 3:15 p.m.	Break	Break	Break	Break	
	<p>Registration and Welcome Reception                      5:00 p.m. – 7:00 p.m.</p>	3:15 p.m. – 4:45 p.m.	<p><b>SESSION 4:</b>                      Market Structure</p> <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<p><b>SESSION 8:</b>                      Public Offerings and Civil Liabilities II</p> <ul style="list-style-type: none"> <li>David Wishnick</li> </ul>	<p><b>SESSION 12:</b>                      Effective Communications Within the Firm</p> <ul style="list-style-type: none"> <li>Jeanine Turner</li> </ul>	<p><b>SESSION 16:</b>                      Assessing and Improving the Corporate Ethical Culture – Leading for Ethical Performance</p> <ul style="list-style-type: none"> <li>Douglas McCabe</li> </ul>
4:45 p.m. – 5:00 p.m.		Break	Break	Break	Break	
5:00 p.m. – 6:00 p.m.		Group Exercise	Group Exercise	Group Exercise	Group Exercise	
6:00 p.m. – 8:00 p.m.		Off-Campus Dinner	Dinner	Dinner and Guest Speaker- Robert Cook, CEO, FINRA	Dinner	