

Sunday, November 13, 2022	TIMES	Monday, November 14	Tuesday, November 15	Wednesday, November 16	Thursday, November 17	Friday, November 18
<p>ACCOMMODATIONS: The Ven at Embassy Row 2015 Massachusetts Ave., Washington, D.C. 20036 Phone: (202) 265-1600</p> <p>BREAKFAST AND LUNCH: Faculty Club Restaurant</p> <p>CLASSROOM: McDonough School of Business Rafik B. Hariri Building, 4th Floor Room 450 37th and O Streets Washington, DC 20057</p> <p>ACADEMIC DIRECTOR: Professor James Angel</p> <p>PROGRAM MANAGERS: Veronica Marin and Ashleigh Spunaugle</p> <hr/> <p>This schedule is subject to change.</p>	7:30 a.m. – 8:50 a.m.	Breakfast	Breakfast	Breakfast	Breakfast	Breakfast
	8:50 a.m. – 9:00 a.m.	<p>Program Mission:</p> <ul style="list-style-type: none"> • <i>Susanne Goldsmith</i> <p>Program Logistics:</p> <ul style="list-style-type: none"> • <i>Veronica Marin</i> • <i>Ashleigh Spunaugle</i> <p>Program Introduction:</p> <ul style="list-style-type: none"> • <i>Professor James Angel</i> 	<p>Group Exercise Overview</p> <ul style="list-style-type: none"> • <i>James Angel</i> 	<p>Group Exercise Overview</p> <ul style="list-style-type: none"> • <i>James Angel</i> 	<p>Group Exercise Overview</p> <ul style="list-style-type: none"> • <i>James Angel</i> 	<p>Group Exercise Overview</p> <ul style="list-style-type: none"> • <i>James Angel</i>
	9:00 a.m. – 10:30 a.m.	<p>SESSION 1: Complex Financial Products</p> <ul style="list-style-type: none"> • <i>James Angel</i> 	<p>SESSION 5: Crowdfunding and Funding Portals</p> <ul style="list-style-type: none"> • <i>Elliot Henslovitz</i> • <i>James Murphy</i> 	<p>SESSION 9: Investment Adviser Regulation</p> <ul style="list-style-type: none"> • <i>James Angel</i> 	<p>SESSION 13: Fitting FinTech into the Financial Regulatory Framework: What's Different and What's the Same</p> <ul style="list-style-type: none"> • <i>James Angel</i> 	<p>SESSION 17: Cyber-Threats</p> <ul style="list-style-type: none"> • <i>Greg Lyon</i> • <i>Greg Ruppert</i> • <i>Brita Bayatmakou</i>
	10:30 a.m. – 10:45 a.m.	Break	Break	Break	Break	Break
	10:45 a.m. – 12:15 p.m.	<p>SESSION 2: Foreign Markets and Securities Regulation I: Miffed about MiFID? (EU and UK)</p> <ul style="list-style-type: none"> • <i>James Angel</i> 	<p>SESSION 6: Municipal Securities Regulation</p> <ul style="list-style-type: none"> • <i>Urška Velikonja</i> • <i>Saliha Olgun</i> • <i>Michael Nouri</i> 	<p>SESSION 10: Insider Trading</p> <ul style="list-style-type: none"> • <i>James Angel</i> 	<p>SESSION 14: Broker-Dealer Arbitration Proceedings</p> <ul style="list-style-type: none"> • <i>James Angel</i> • <i>Richard Berry</i> 	<p>SESSION 18: Cyber-Security</p> <ul style="list-style-type: none"> • <i>Greg Lyon</i> • <i>Greg Ruppert</i> • <i>Brita Bayatmakou</i>
	12:15 p.m. – 1:30 p.m.	Lunch/Group Photo	Lunch	Lunch	Lunch	Lunch
	1:30 p.m. – 3:00 p.m.	<p>SESSION 3: Leadership: Negotiation Skills for Compliance Professionals</p> <ul style="list-style-type: none"> • <i>Douglas McCabe</i> 	<p>SESSION 7: Foreign Markets and Securities Regulation II: Asia and More/ Considering Canada and the Snowbirds</p> <ul style="list-style-type: none"> • <i>James Angel</i> 	<p>SESSION 11: SEC and FINRA Enforcement</p> <ul style="list-style-type: none"> • <i>Urška Velikonja</i> • <i>Jessica Hopper</i> 	<p>SESSION 15: Anti-Money Laundering Regulations I</p> <ul style="list-style-type: none"> • <i>Sarah Green</i> • <i>Jason Foye</i> 	<p>ASSESSMENT 1:00 p.m. – 3:30 p.m.</p>
	3:00 p.m. – 3:15 p.m.	Break	Break	Break	Break	
	3:15 p.m. – 4:45 p.m.	<p>SESSION 4: Leadership: Negotiation Simulation</p> <ul style="list-style-type: none"> • <i>Douglas McCabe</i> 	<p>SESSION 8: Leadership: Building Teams and Managing Conflicts</p> <ul style="list-style-type: none"> • <i>Hillary Sale</i> 	<p>SESSION 12: Customer Protection Rule: Clearing and Custody</p> <ul style="list-style-type: none"> • <i>James Angel</i> • <i>John McKissick</i> 	<p>SESSION 16: Anti-Money Laundering Regulations II</p> <ul style="list-style-type: none"> • <i>Sarah Green</i> • <i>Jason Foye</i> 	<p>Thank you for attending. Safe Travels!</p>
	4:45 p.m. – 5:00 p.m.	Break	Break	Break	Break	
5:00 p.m. – 6:00 p.m.	Group Exercise	Group Exercise	Group Exercise	Group Exercise		
6:00 p.m. – 8:00 p.m.	Off-Campus Dinner	Dinner and Guest Speaker: The Hon. Hester Peirce, Commissioner, SEC	Dinner	Dinner		