



(Refer to UPC# 09-2022) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #10-2022) April 18, 2022

WHEN, AS AND IF ISSUED SETTLEMENT DATES _____

ISSUE

Settlement Date

Issuer Name: Rivernorth Opportunities Fund (RIVOP)

April 20,2022

Class of Security: Perp Pfd Ser A

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-776-0800.