



2022 Annual Conference

May 16 –18 | Washington, DC | Hybrid Event

Plenary Session II: Fireside Chat With Robert Cook and Eileen Murray

Monday, May 16, 2022

9:35 a.m. – 10:00 a.m.

Join FINRA President and Chief Executive Officer Robert Cook and FINRA Chairperson Eileen Murray for a conversation on their perspectives on the state of the industry, future challenges, and the Board's priorities.

Speakers:

Robert Cook
President and Chief Executive Officer
FINRA

Eileen Murray
Former Co-CEO, Bridgewater Associates, LP
Chairperson and FINRA Public Governor

Plenary Session II: Fireside Chat With Robert Cook and Eileen Murray Speaker Bios:

Speakers:



Robert W. Cook is President and CEO of FINRA, and Chairman of the FINRA Investor Education Foundation. From 2010 to 2013, Mr. Cook served as the Director of the Division of Trading and Markets of the U.S. Securities and Exchange Commission. Under his direction, the Division's professionals were responsible for regulatory policy and oversight with respect to broker-dealers, securities exchanges and markets, clearing agencies and FINRA. In addition, the Division reviewed and acted on over 2,000 rule filings and new product listings each year from self-regulatory organizations, including the securities exchanges and FINRA, and was responsible for implementing more than 30 major rulemaking actions and studies generated by the Dodd-Frank and JOBS Acts. He also directed the staff's review of equity market structure. Immediately prior to joining FINRA, and before his service at the SEC, Mr. Cook was a partner based in the Washington, DC, office of an international law firm. His practice focused on the regulation of securities markets and market intermediaries, including securities firms, exchanges, alternative trading systems and clearing agencies. During his years of private practice, Mr. Cook worked extensively on broker-dealer regulation, advising large and small firms on a wide range of compliance matters. Mr. Cook earned his J.D. from Harvard Law School in 1992, a Master of Science in Industrial Relations and Personnel Management from the London School of Economics in 1989, and an A.B. in Social Studies from Harvard College in 1988.



Eileen Murray most recently served as Co-Chief Executive Officer of Bridgewater Associates, LP. Prior to joining Bridgewater, she was CEO for Investment Risk Management LLC and President and Co-CEO of Duff Capital Advisors. Ms. Murray launched her professional career in 1984 at Morgan Stanley, where she held several senior positions including Controller, Treasurer, and Global Head of Technology and Operations, as well as Chief Operating Officer for the firm's Institutional Securities Group. From 2002 to 2005, she was Head of Global Technology, Operations and Product Control at Credit Suisse and served on the firm's management and executive board. Ms. Murray is a non-executive Director at HSBC, Guardian Life Insurance Company of America and Atlas Crest Investment Corporation. She holds a bachelor's degree in accounting and an honorary doctorate degree from Manhattan College.

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Speakers

○ Speakers

- Robert Cook, President and Chief Executive Officer, FINRA
- Eileen Murray, Former Co-CEO, Bridgewater Associates, LP, Chairperson and FINRA Public Governor