



Office Hours provides an opportunity for conference attendees to meet one-on-one with FINRA staff. Several FINRA employees will be available to answer and discuss firm-specific questions.

Day / Time	Table #1	Table #2
Monday, May 16		
11:15 a.m. - 12:15 p.m.	Advertising Regulation Speakers: Pramit Das and Ira Gluck	Firm Grouping: Diversified, Carrying & Clearing Speaker: John Martino and Michael MacPherson
1:45 p.m. - 2:45 p.m.	Firm Grouping: Capital Markets and Investment Banking Speakers: Tom Mellett and Matthew Reyburn	Financial Responsibility Rules Speakers: Kris Dailey and Ann Duguid
3:00 p.m. - 4:00 p.m.	National Cause and Financial Crimes Detection Programs (NCFC) Speakers: Keith Bettencourt and Gargi Sharma	Firm Grouping: Retail Speakers: Lance Burkett and Yuliana Landers
Tuesday, May 17		
11:00 a.m. – 12:00 p.m.	Firm Grouping: Trading & Execution Speakers: Kyle Morse and Tim Thompson	Financial Technology (FinTech) Speakers: Haime Working and Jacob Yunger
1:45 p.m. - 2:45 p.m.	National Cause and Financial Crimes Detection Programs (NCFC) Speakers: Keith Bettencourt and Jason Foye	Firm Grouping: Retail Speaker: Andrew McElduff and Robert Chao
3:00 p.m. – 4:00 p.m.	Firm Grouping: Capital Markets and Investment Banking Speakers: Catharine Dunn and Matthew Reyburn	Firm Grouping: Diversified, Carrying & Clearing Speakers: John Martino and Michael MacPherson