



2022 Annual Conference

May 16 –18 | Washington, DC | Hybrid Event

Plenary Session I: Welcome Remarks

Monday, May 16, 2022

9:30 a.m. – 9:35 a.m.

Kayte Toczyłowski opens the conference.

Speaker: Kayte Toczyłowski
Vice President
FINRA Member Relations and Education

Plenary Session I: Welcome Remarks Speaker Bio:

Speaker:



Kayte Toczyłowski is Vice President of Member Relations and Education for FINRA. In leading the Member Relations and Education Department, Ms. Toczyłowski's responsibilities include maintaining and enhancing open and effective dialog with FINRA member firms. Ms. Toczyłowski also oversees FINRA's Member Education area, which includes FINRA conferences and other member firm educational offerings such as the FINRA Institute at Georgetown for the Certified Regulatory and Compliance Professional (CRCP)[®] designation. Ms. Toczyłowski has been with FINRA since 2011 and spent nine years in Member Supervision's examination program. Most recently, Ms. Toczyłowski was an Examination Director located in the Philadelphia, PA office, where she led geographically dispersed exam teams responsible for planning and executing Member Supervision's examination program relative to a subset of firms engaged primarily in Capital Markets & Investment Banking Services. She entered the securities industry in 2003 in the compliance department of Janney Montgomery Scott, a regional broker-dealer headquartered in Philadelphia. The majority of her eight-year career at Janney was spent as a compliance examiner for the firm's branch network. Ms. Toczyłowski has a Bachelor of Arts degree in English from Villanova.

Plenary Session I: Welcome Remarks

Speaker

○ Speaker

- Kayte Toczylowski, Vice President, FINRA Member Relations and Education